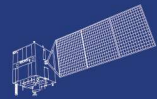


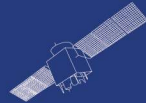
HY



HJ-1AB



CBERS



Gaofen



Beijing-2



Sentinel-1



Sentinel-2



Sentinel-3



Sentinel-5p



Aeolus

2023 DRAGON 5 SYMPOSIUM

3rd YEAR RESULTS REPORTING

11-15 SEPTEMBER 2023

[PROJECT ID. 58573]

**THREE DIMENSIONAL CLOUD EFFECTS ON
ATMOSPHERIC COMPOSITION AND AEROSOLS
FROM NEW GENERATION SATELLITE
OBSERVATIONS (3D CLOUD EFFECTS)**



Dragon 5 3rd Year Results Project



WEDNESDAY 13 SEPTEMBER 2023

ID. 58573

**PROJECT TITLE: THREE DIMENSIONAL CLOUD EFFECTS ON ATMOSPHERIC
COMPOSITION AND AEROSOLS FROM NEW GENERATION SATELLITE
OBSERVATIONS (3D CLOUD EFFECTS)**

PRINCIPAL INVESTIGATORS: PING WANG¹, MINZHENG DUAN²

CO-AUTHORS: Victor Trees¹, Benjamin Leune¹, Congcong Qiao²

PRESENTED BY: PING WANG

- Inform on the project's objectives
- Detail the Copernicus Sentinels, ESA, Chinese and ESA Third Party Mission data utilised after 3 years (complete slide 4)
- Inform on the results after 3 years of activity
- Inform on the project's schedule, planning & contribution of the partners for the following year
- Report on the peer reviewed publications (nr. of papers, journal name and publication title) after 3 years of activity

Project's objectives

Detect the cloud shadows and

Analyze the impact of the 3D cloud effects on trace gas retrievals.

Use the (cloud) shadow and neighbour pixels to derive aerosol optical thickness and surface albedo.

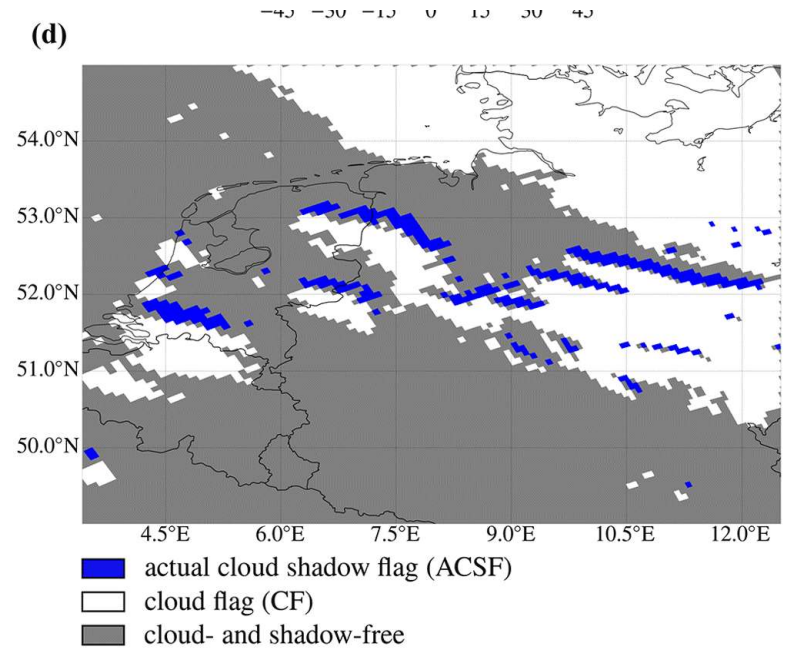
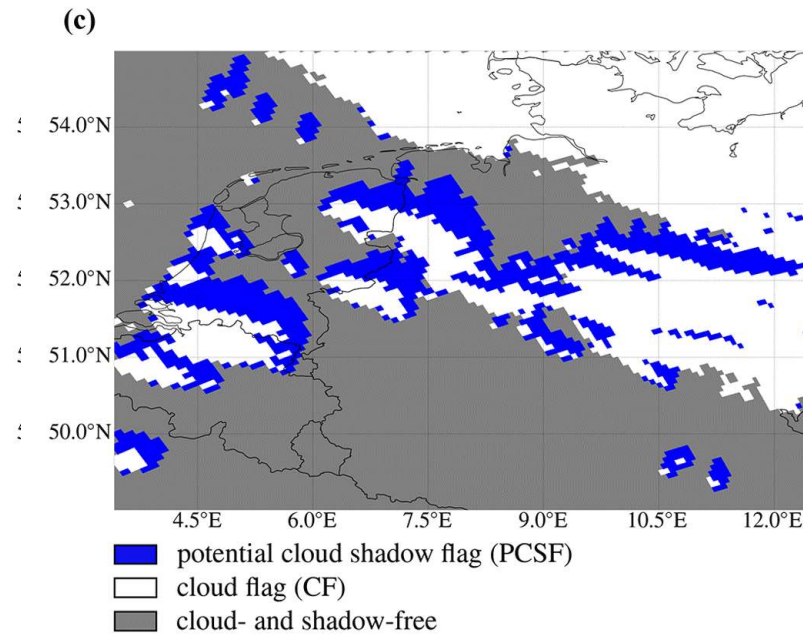
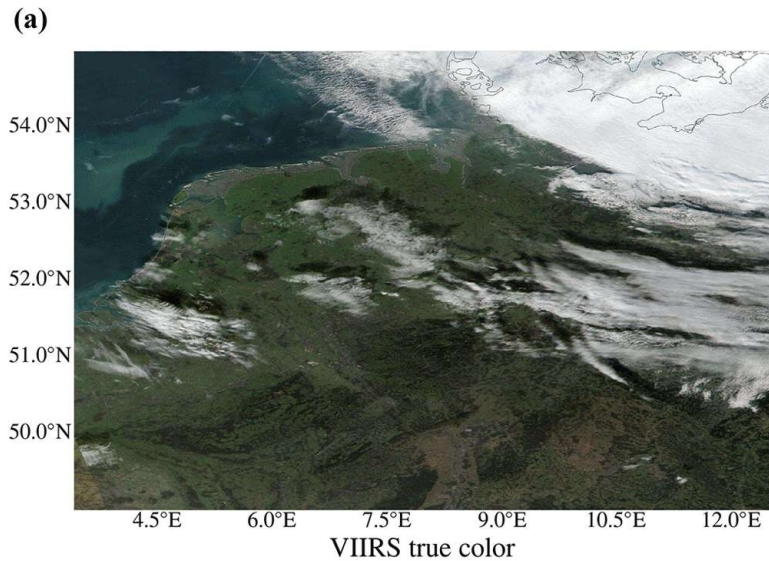
Use 3D radiative transfer model simulations to understand the cloud effects on TROPOMI NO₂ products.

Data access (list all missions and issues if any). NB. in the tables please insert cumulative figures (since July 2020) for no. of scenes of high bit rate data (e.g. S1 100 scenes). If data delivery is low bit rate by ftp, insert “ftp”

ESA /Copernicus Missions	No. Scenes
1. Sentinel-5P L1B, L2 (NO2, AAI, clouds)	8 months full orbits data
2.	
3.	
4.	
5.	
6.	
Total:	
Issues: No	

ESA Third Party Missions	No. Scenes
1. Landsat-8	16
2.	
3.	
4.	
5.	
6.	
Total:	
Issues: No	

Chinese EO data	No. Scenes
1. GF-2	30
2.	
3.	
4.	
5.	
6.	
Total:	
Issues: No	



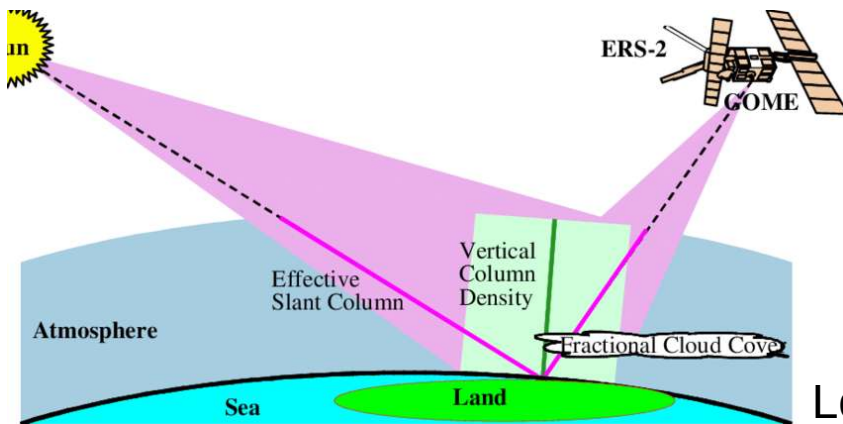
Trees et al., 2022

- Processed 8 months of TROPOMI data for cloud shadow flags
- Cloud shadow flags have been used to reprocess the TROPOMI DLER product

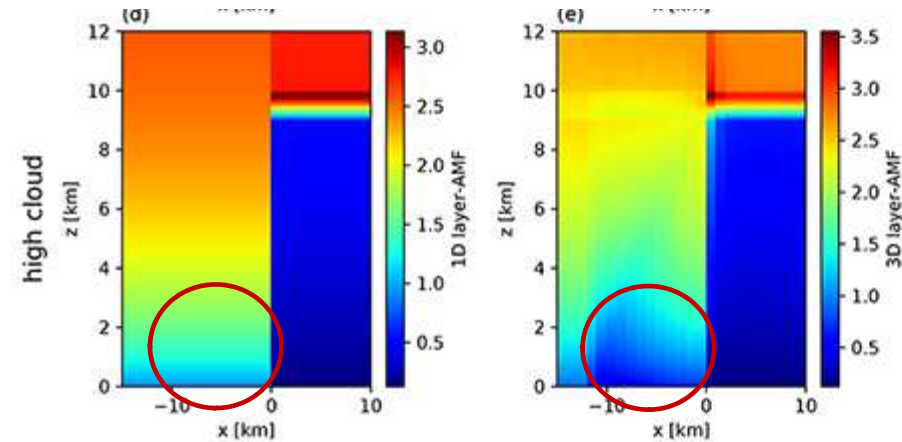
Steps to retrieve NO₂ VCD

- fit slant columns (Ns)
- separate Tropospheric and stratospheric columns (Ns_trop)
- calculate airmass factors (AMF)
- calculate vertical column

- $Nv_trop = Ns_trop / AMF_trop$
- Small AMF leads to large NO₂ VCD



Loyola et al., 1996



1D AMF

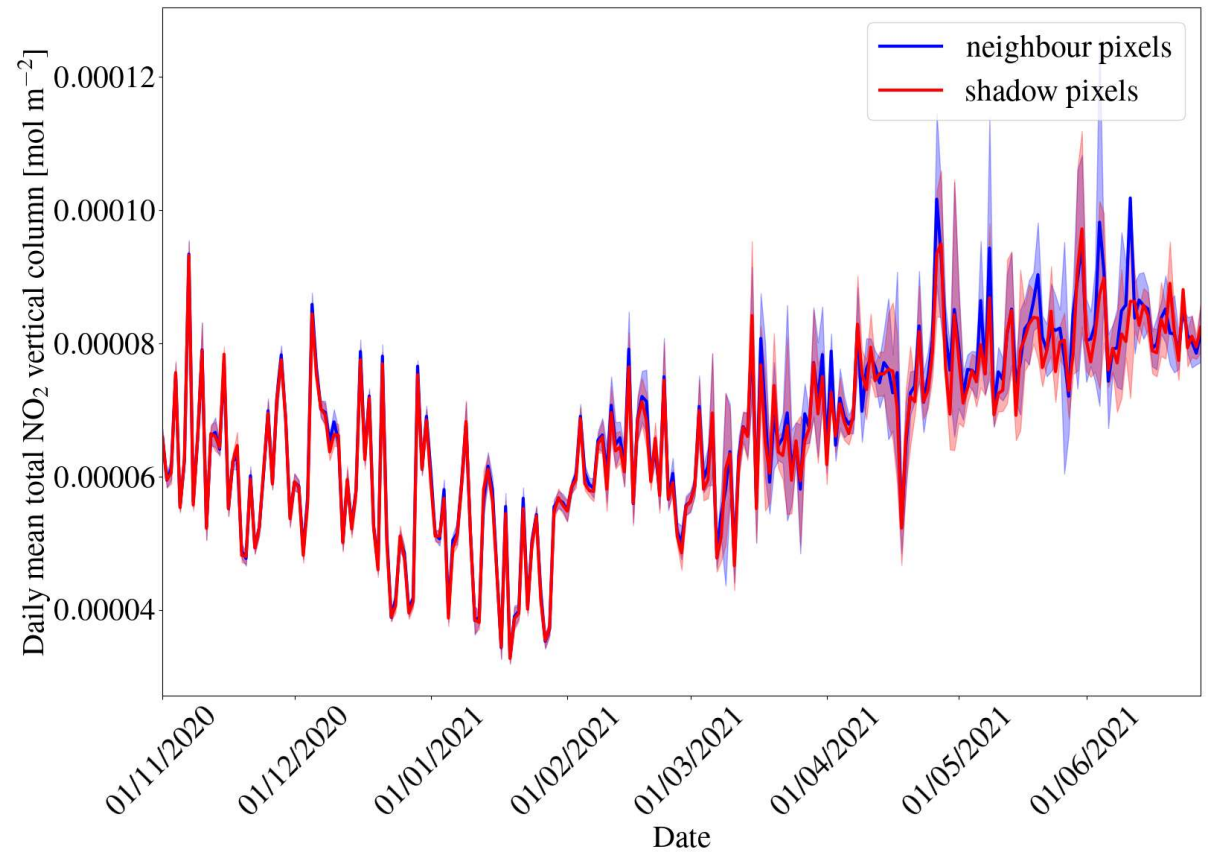
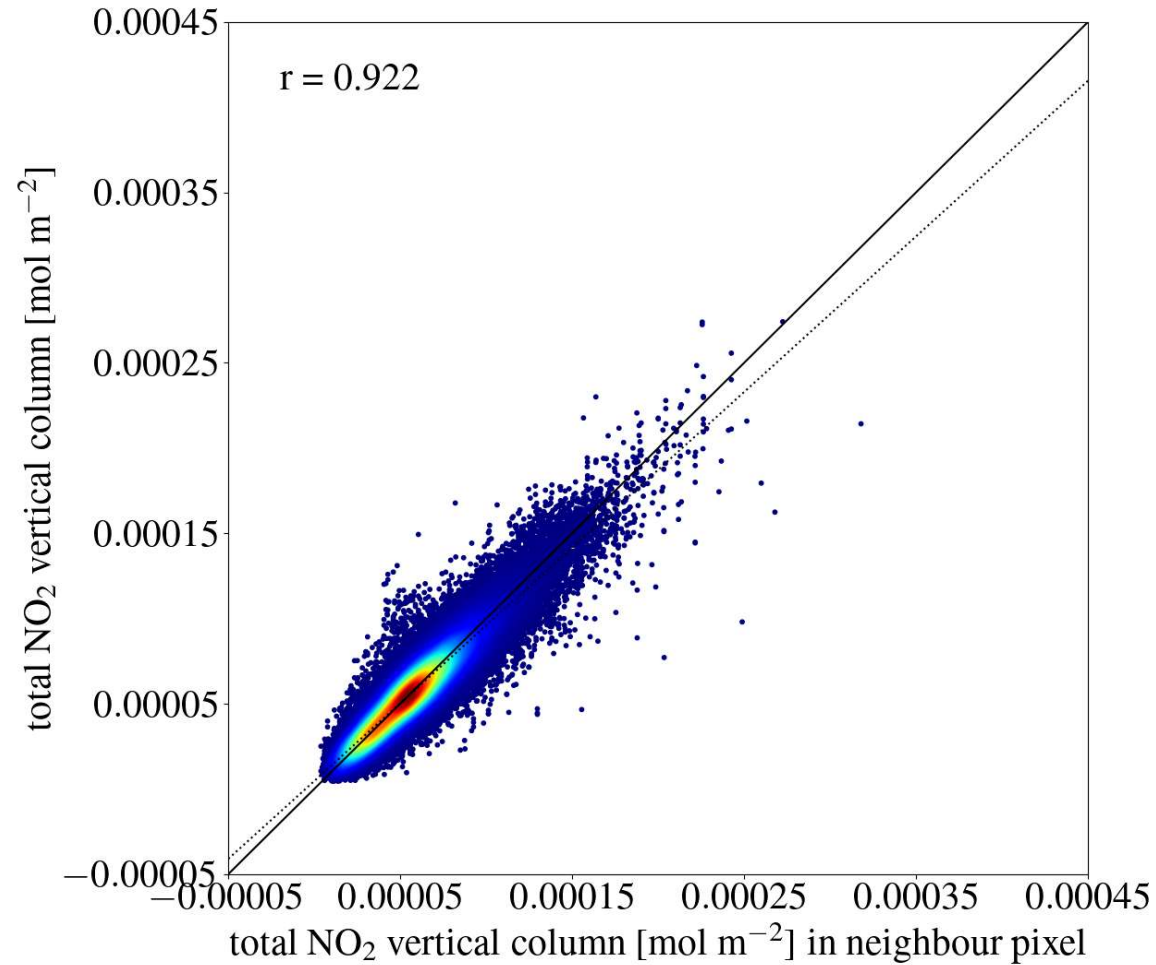
3D AMF

1D NO₂ AMF is larger than 3D AMF in the shadows. TROPOMI uses 1D AMF, retrieved NO₂ VCD in the shadow should be lower than the real NO₂ VCD.

But Yu, Emde et al., 2022 did not find lower NO₂ in the shadows in TROPOMI NO₂ data.

Figures taken from Emde et al., 2022 AMT

No cloud shadow signature found in TROPOMI NO₂



How to understand it?

MONKI (MONte carlo KnmI)

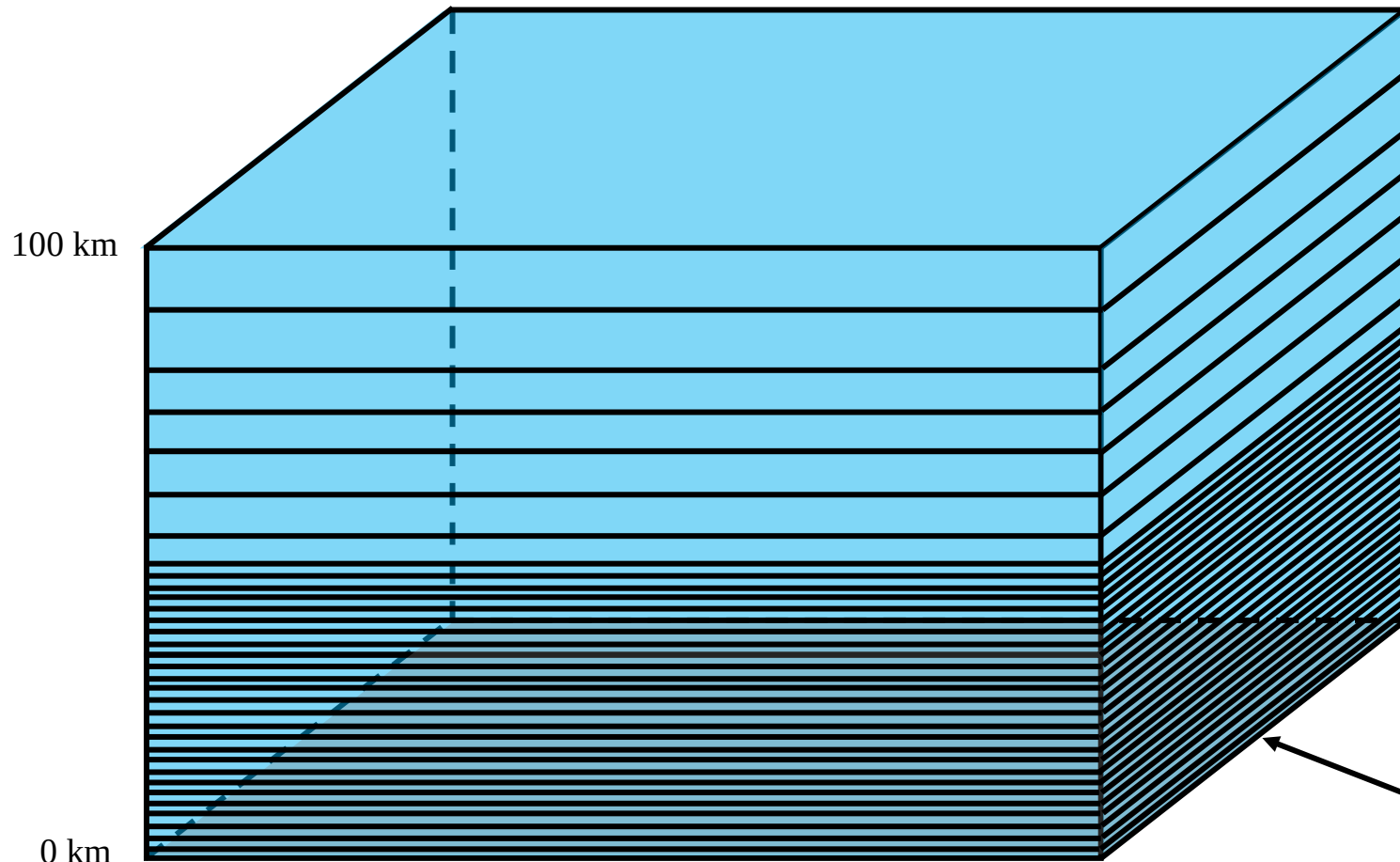
We have our own
3D RT model
MONKI

```
PROGRAM main

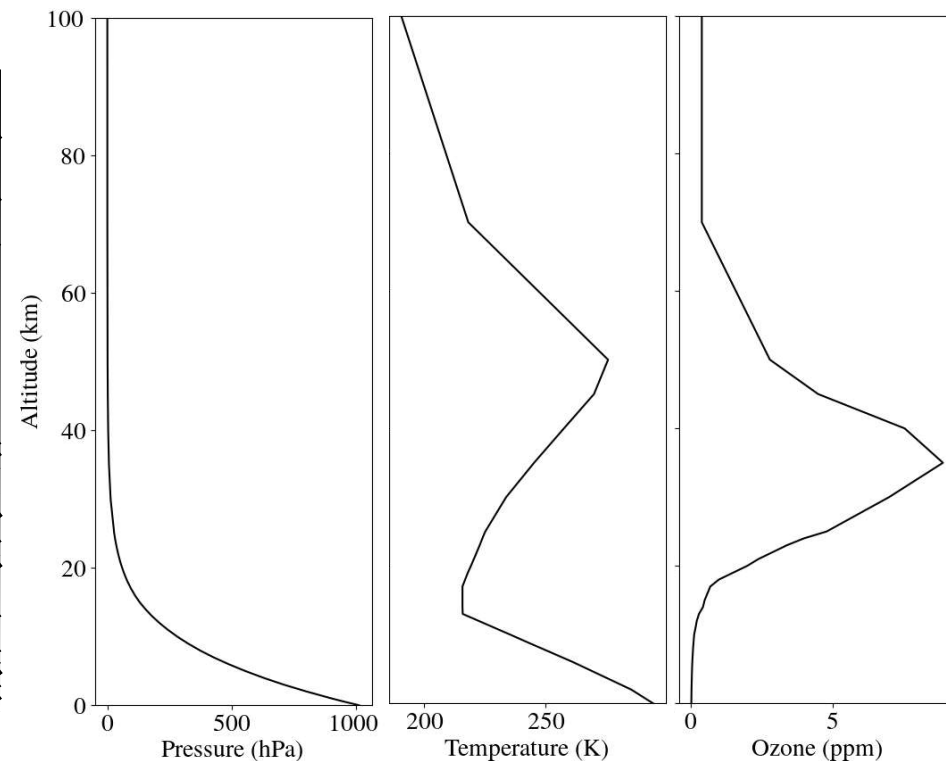
!*****
!*  MONKI (Monte Carlo KNMI) -- Version 1.0
!*
!*  Three-dimensional radiative transfer simulation of sunlight
!*  reflected by an atmosphere-surface system following the Monte
!*  Carlo technique, fully taking into account the state of
!*  polarization of the light (Stokes parameters I, Q, U and V) for
!*  all orders of scattering.
!*
!*  Atmosphere: - Rayleigh scattering of light by gaseous molecules
!*               - Mie scattering of light by spherical droplets
!*               - Absorption of light by various gases (O3)
!*               - Absorption of light by spherical droplets
!*
!*  Surface:    - Lambertian (reflecting the light isotropically
!*               and fully depolarizing) with specified surface
!*               albedo.
!*
!*  Domain:    - Cartesian grid
!*               - Cyclic domain in horizontal (x- and y-)directions
!*               - nx grid cells in the x-direction
!*               - ny grid cells in the y-direction
!*               - nz+1 grid cells in the z-direction
!*
!*  Author: Victor Trees, January 2023
!*
!*****
```

MC code setup

- Rayleigh scattering
- O₃ absorption
- Surface reflection



Mid-Latitude Summer (MLS) Profile



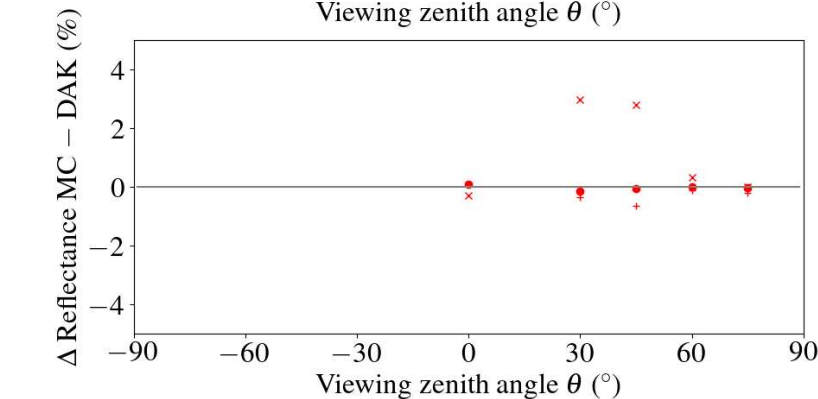
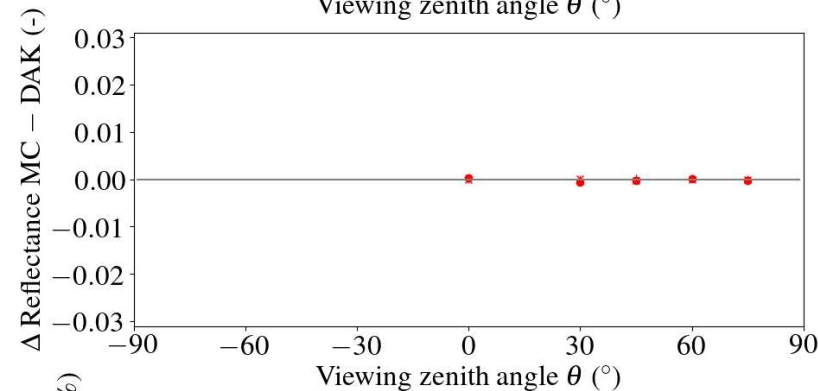
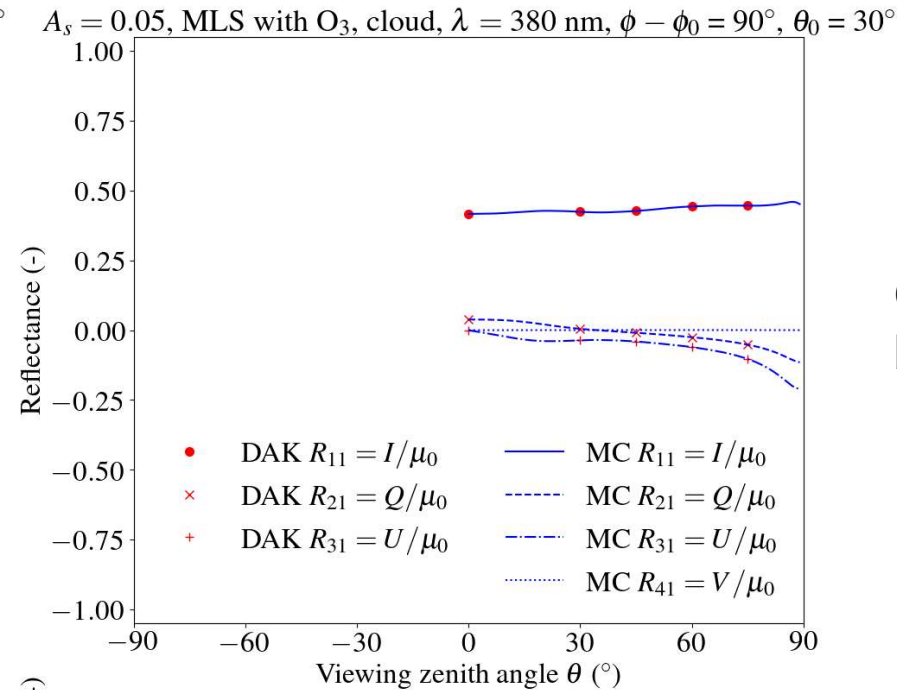
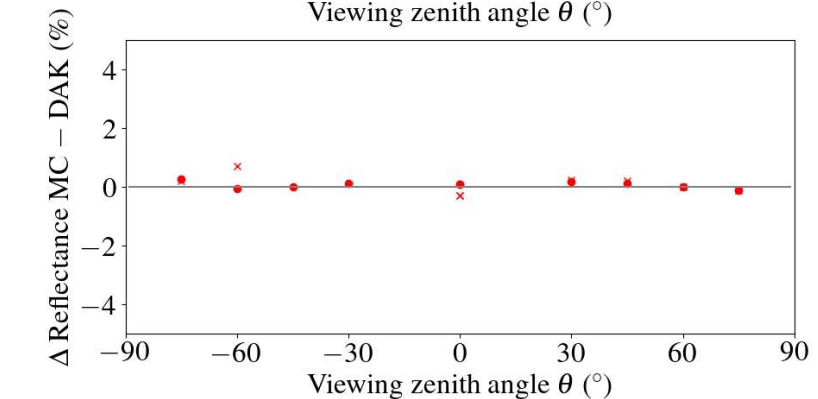
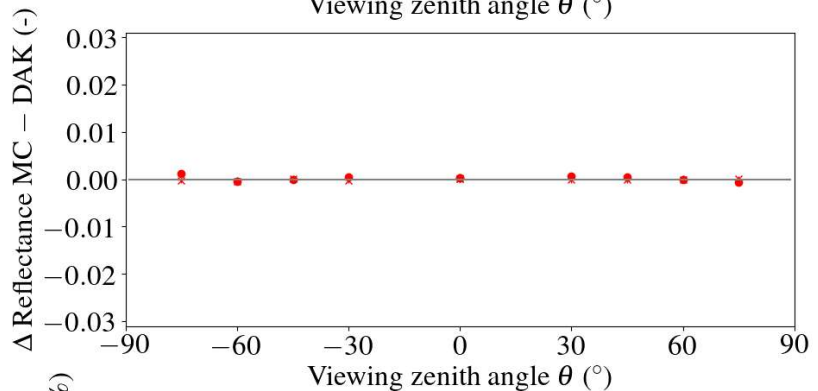
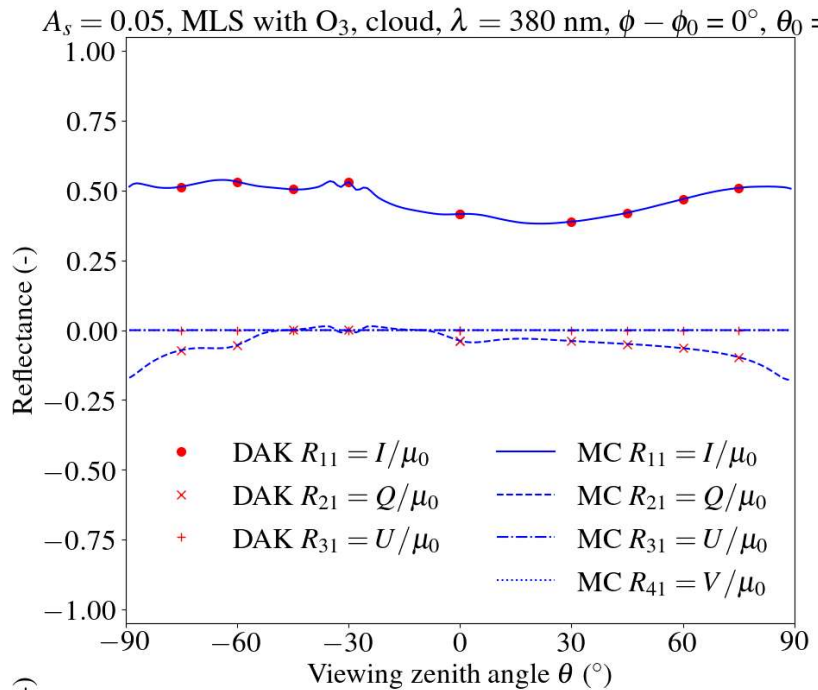
Lambertian surface

cyclic domain

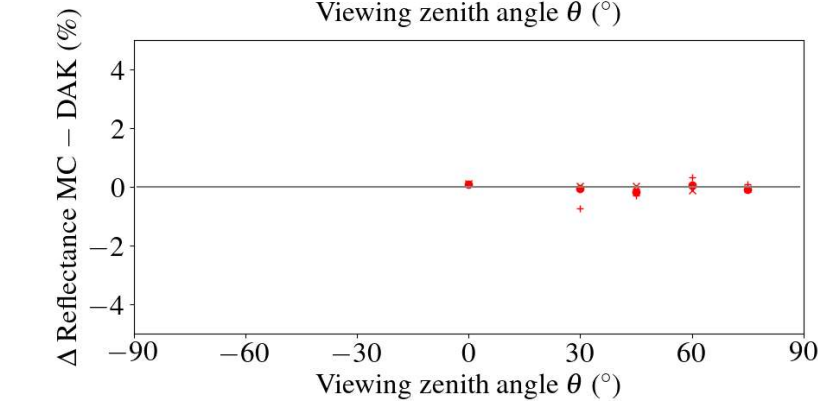
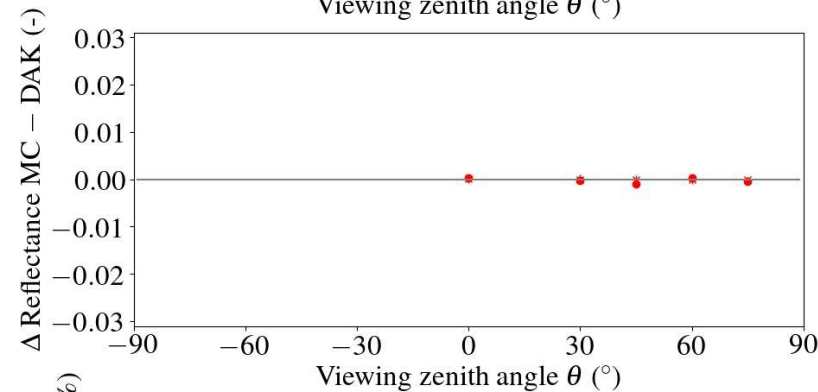
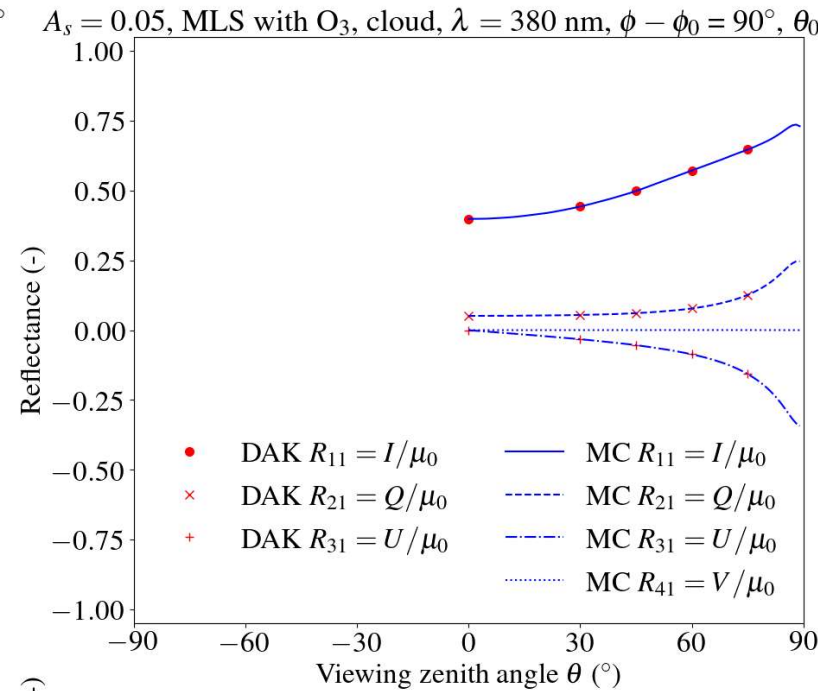
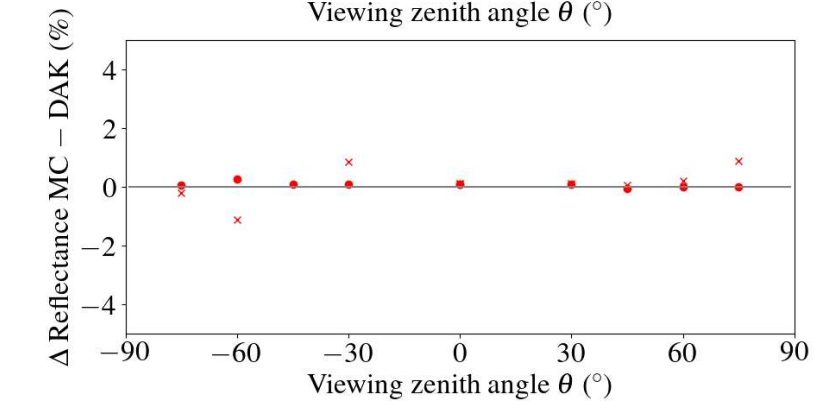
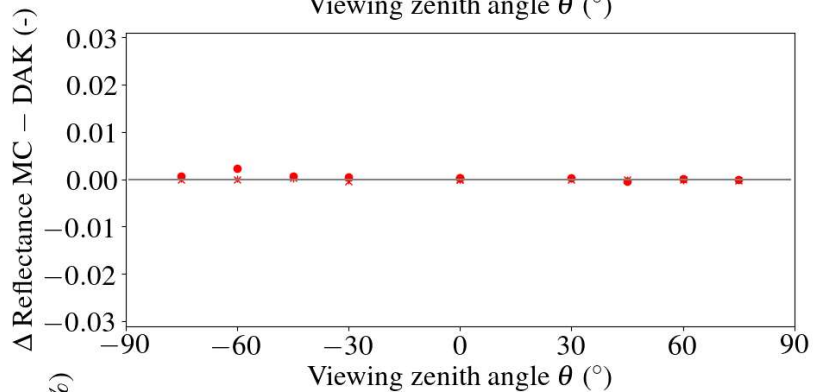
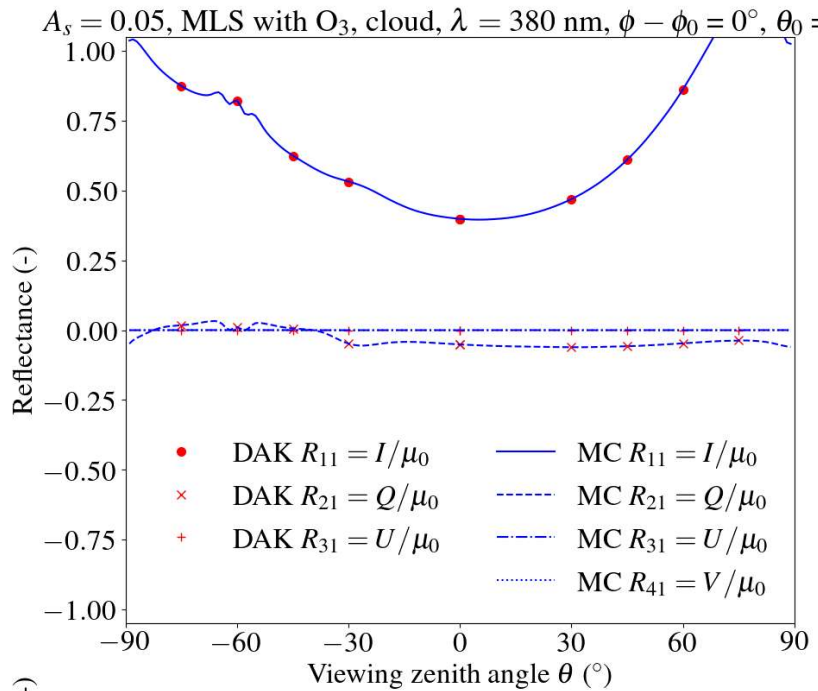
Evaluation of 3D RTM MONKI

Compared with DAK (Doubling Adding KNMI)

De Haan et al, 1987.

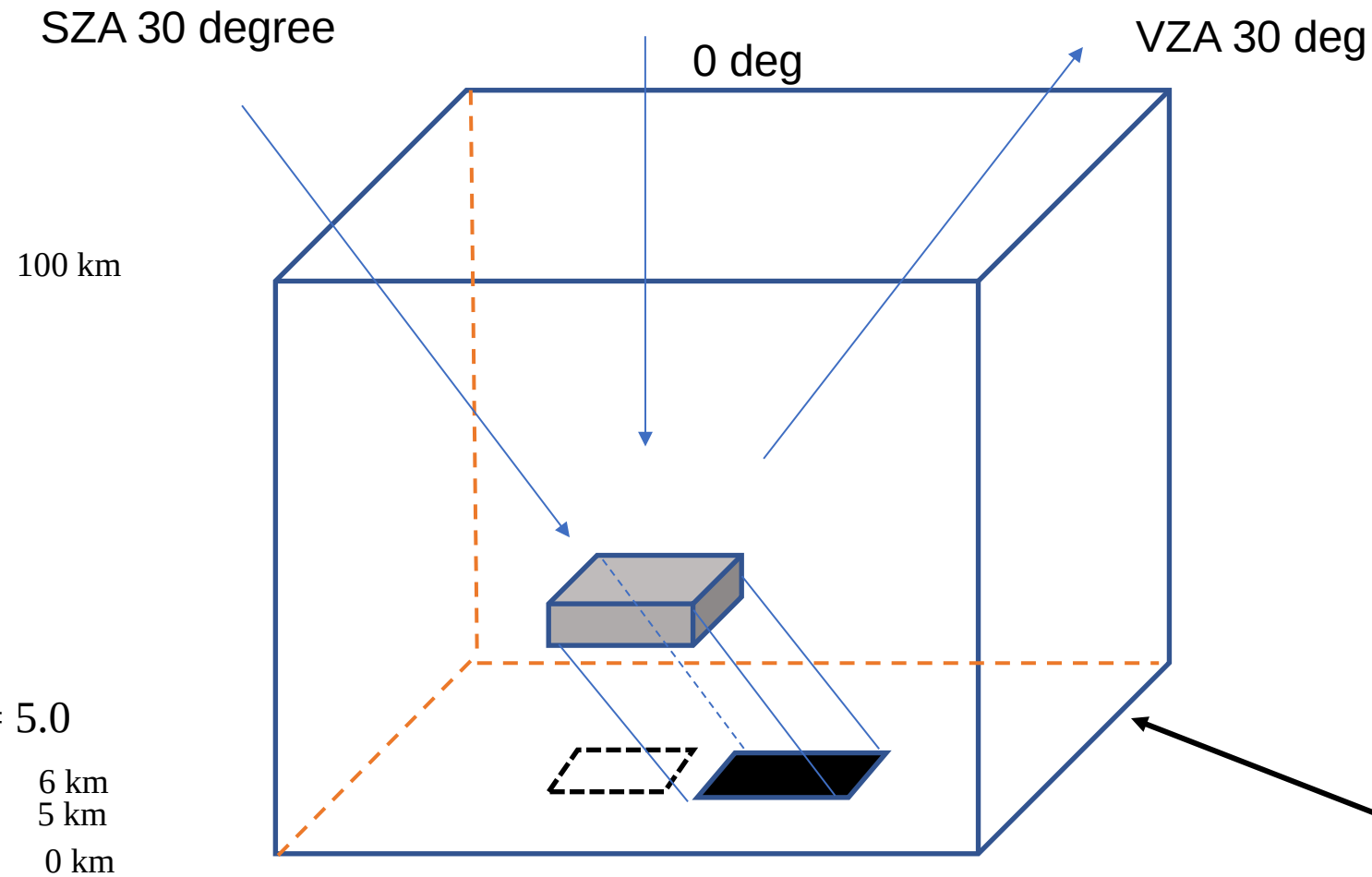


Good agreement between MONKI and DAK

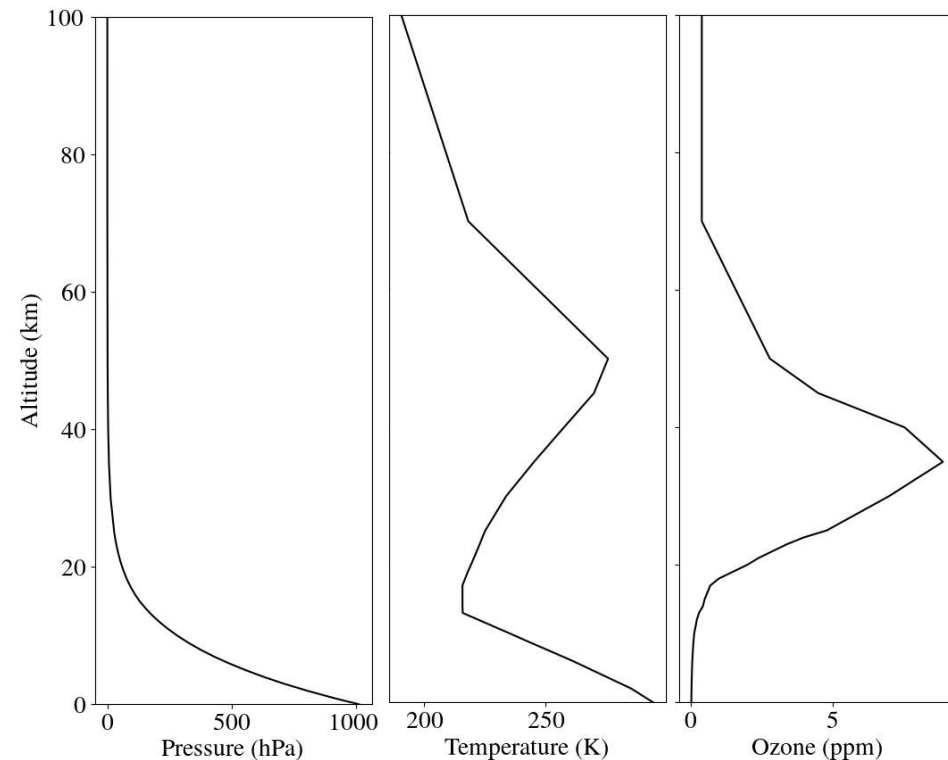


MC code setup

- Rayleigh scattering
- O₃ absorption
- Surface reflection
- Mie scattering – clouds



Mid-Latitude Summer (MLS) Profile



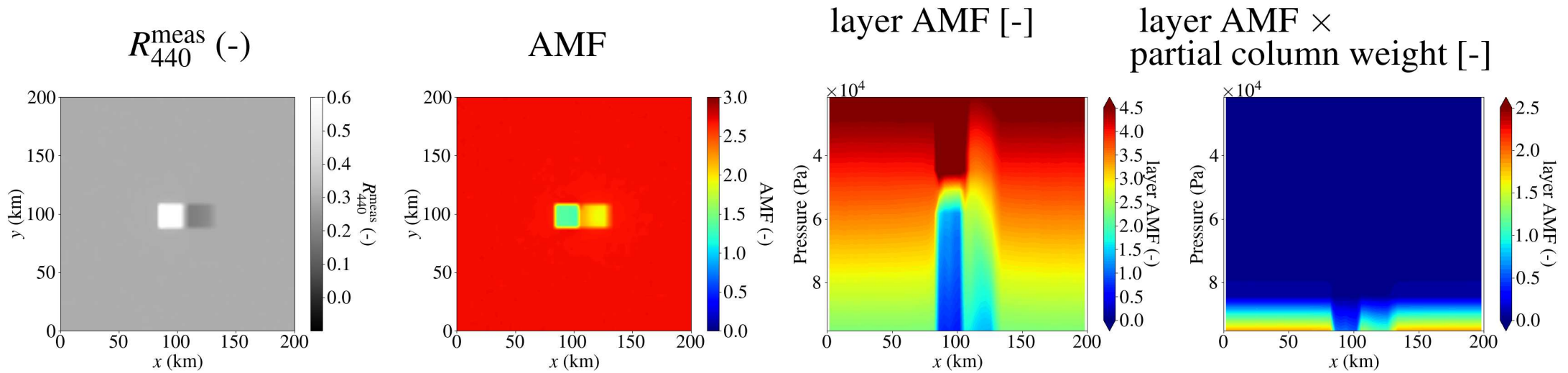
Lambertian surface

Layer AMF:
$$AMF_i = \frac{SCD_i}{VCD_i} = \frac{\int_{path} c_i dl}{\int_{z_i}^{z_{i+1}} c_i dz} = \frac{\int_{path} dl}{h_i} = \frac{L_i}{h_i}$$

At 440 nm

Total AMF:
$$AMF = \frac{\sum_l AMF_l \cdot x_l}{\sum_l x_l}$$
 $x_l = NO_2 \text{ partial column,}$
 $NO_2 \text{ horizontally homogenous in the}$
 $\text{domain of } 200 \times 200 \text{ km}^2$

$\theta = 30^\circ$

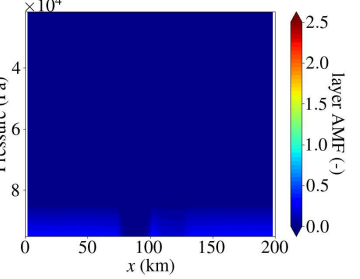
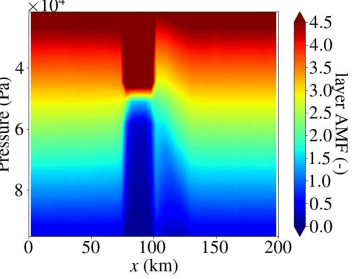
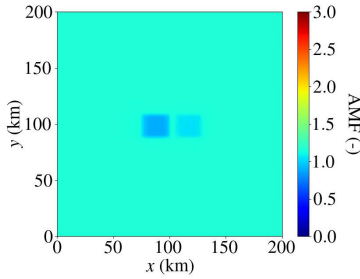
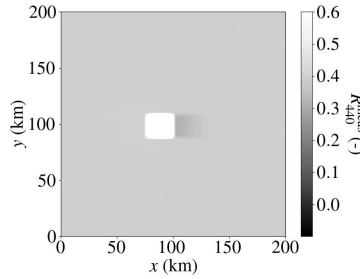


SZA=75 degree, VZA = 30 degree, relative azimuth angle =0, surface albedo = 0.2, COT = 10, cloud at = 5-6 km

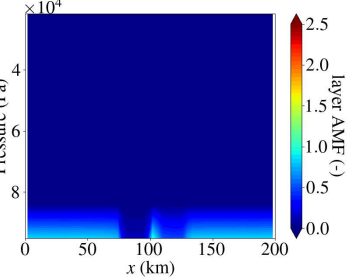
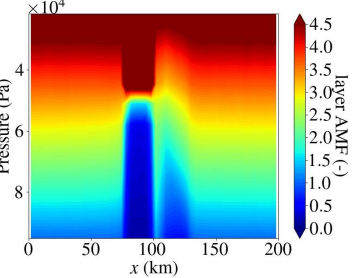
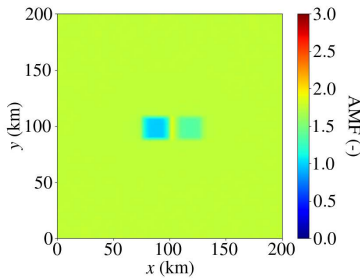
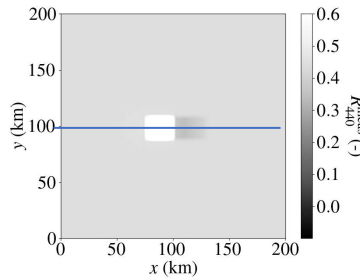
AMF at different surface albedo A_s

$h = 5.0 \text{ km}, \tau_c = 10.0, \theta_0 = 75^\circ, \phi - \phi_0 = 0^\circ, \theta = 60^\circ$

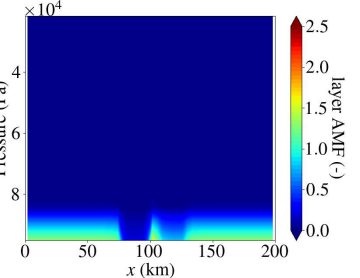
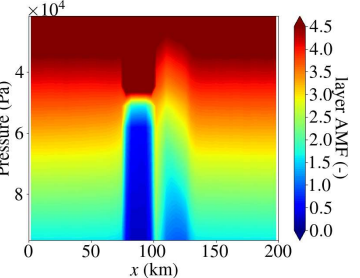
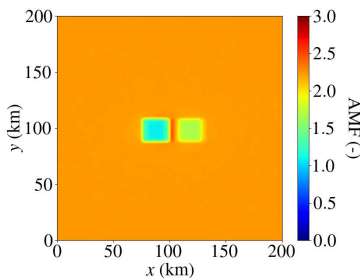
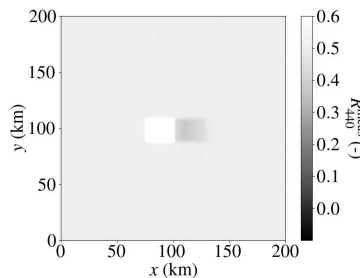
$A_s = 0.0$



$A_s = 0.1$



$A_s = 0.2$



Total AMF

Layer AMF

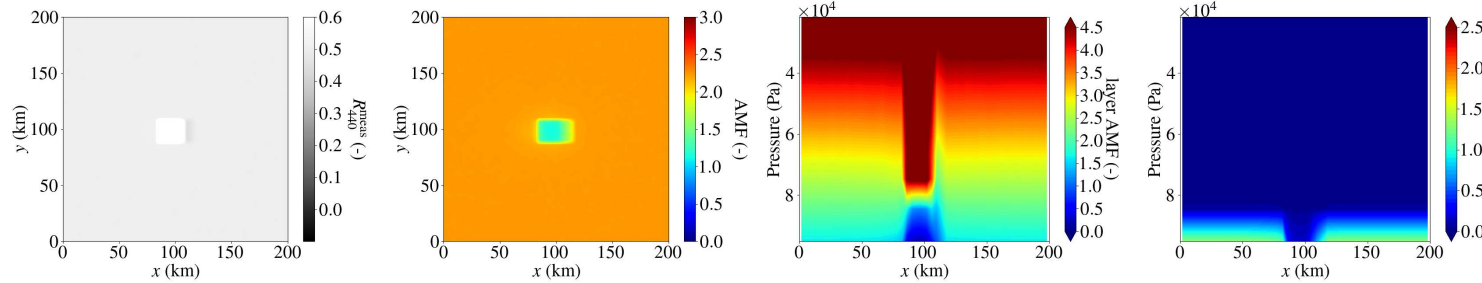
Layer AMF weighted with NO2 profile

$A_s = 0.2$

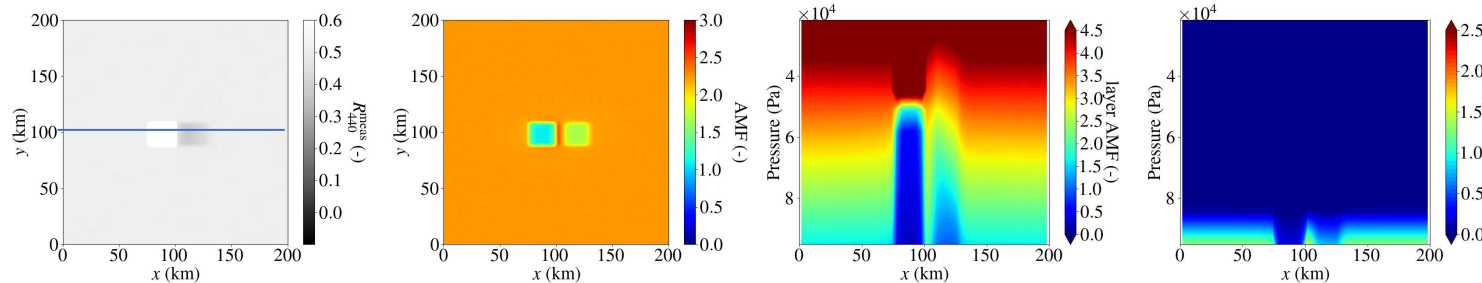
AMF at different cloud height h_c

COT=10 $\theta_0 = 75^\circ, \phi - \phi_0 = 0^\circ$ $\theta = 60^\circ$

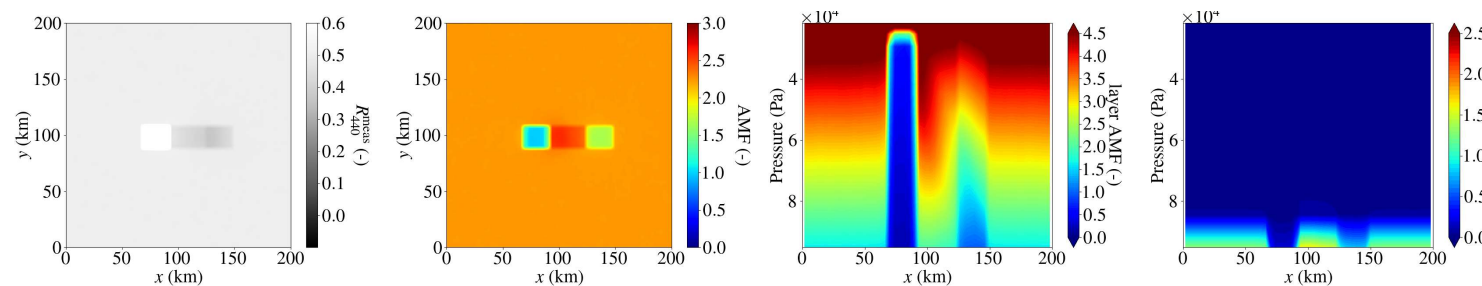
$h_c = 1-2$ km



$h_c = 5-6$ km



$h_c = 8-9$ km

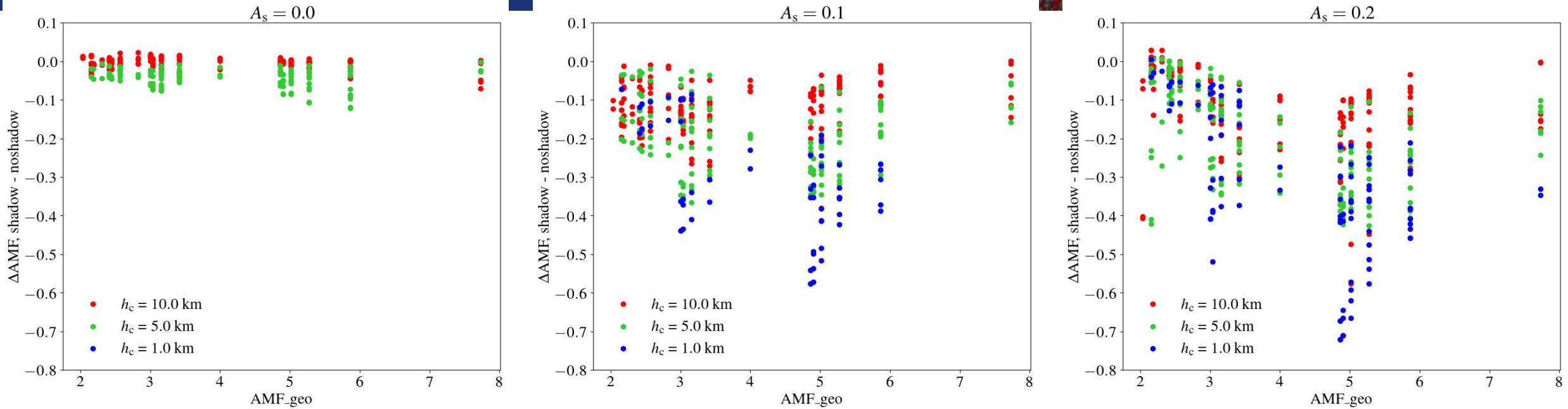


Reflectance at TOA

Total AMF

Layer AMF

Layer AMF weighted with NO2 profile



$$\text{AMF}_{\text{geo}} = 1/\cos(\text{SZA}) + 1/\cos(\text{VZA})$$

Shadow effect in simulated total AMF, while no shadow effect in observed TROPOMI NO_2 VCD?

Simulations used a very high tropospheric NO_2 profile.

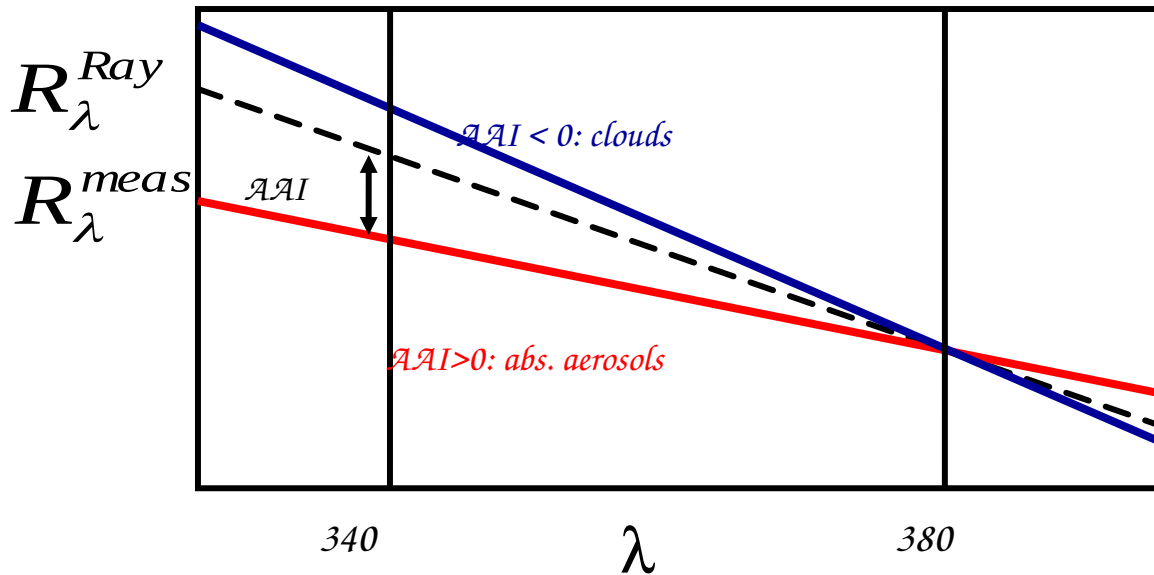
Simulation assumed homogeneous NO_2 in the domain.

In real scenes, NO_2 concentration is not as high as in the simulations.

NO_2 natural variation and precision of NO_2 product might mask some shadow effects.

The AAI indicates the presence of UV absorbing aerosols, like desert dust, smoke, volcanic ash.

AAI calculation: compute 340/380 nm reflectance ratio as compared to that of a purely Rayleigh atmosphere

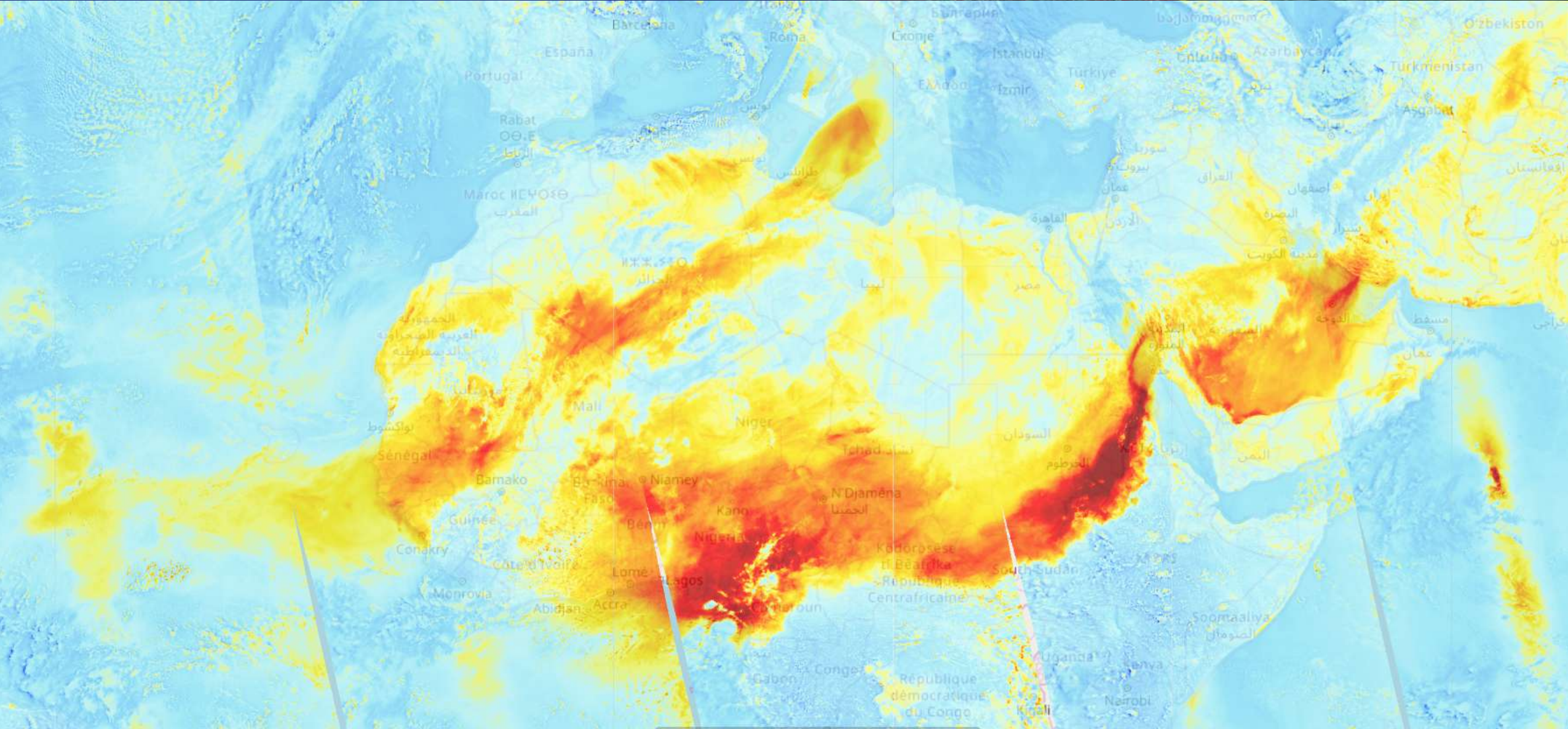


$$R^{meas} = R_{340}/R_{380}$$

$$R^{ray} = R_{340}^{ray}/R_{380}^{ray} \text{ at surface albedo } As^*$$

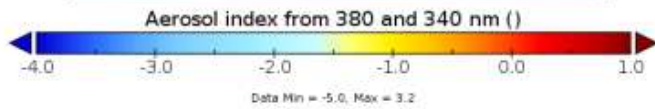
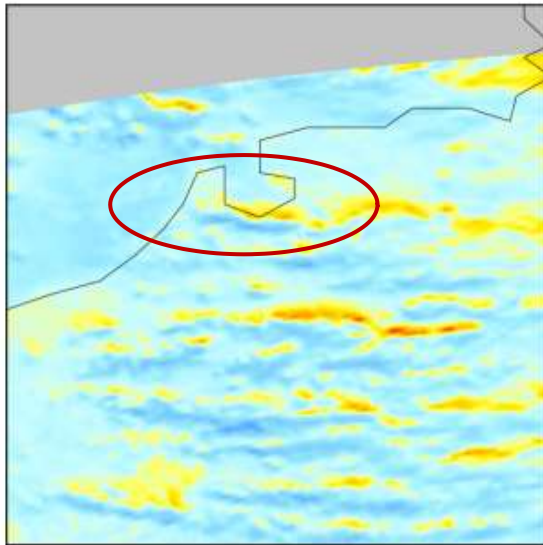
The As^* should be calculated so that it produces $R_{380}^{ray} = R_{380}$

$$AAI = -100 \cdot \left[\log_{10} \left(\frac{R_{340}}{R_{380}} \right)^{meas} - \log_{10} \left(\frac{R_{340}}{R_{380}} \right)^{calc} \right]$$

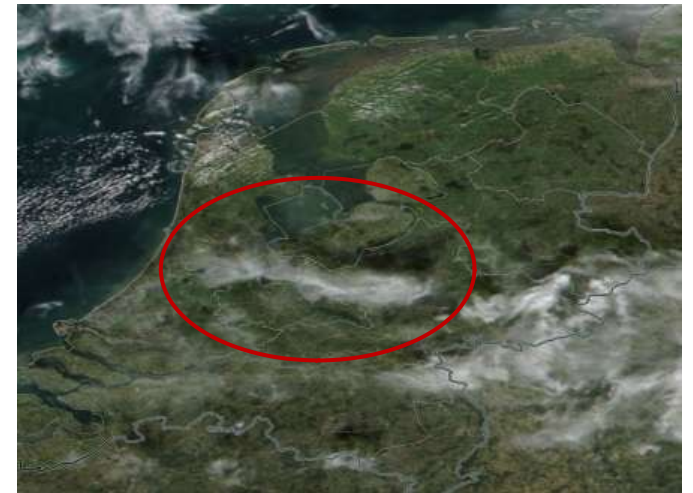
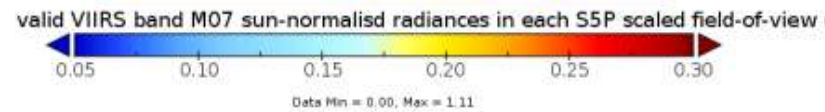
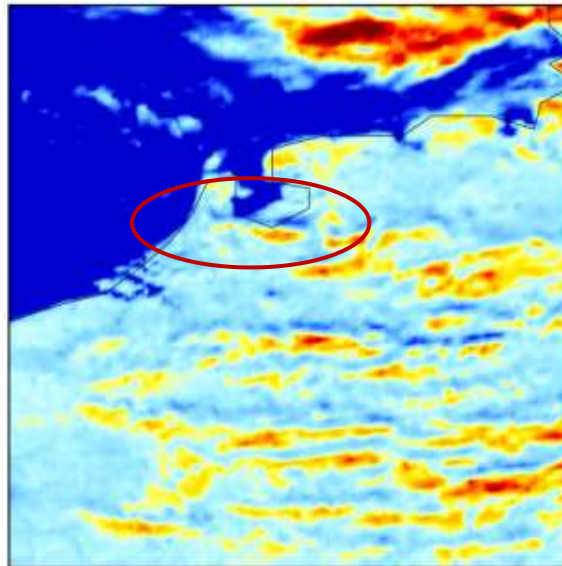


TROPOMI AAI, VIIRS band 07 sun-normalized radiances on 9 October 2018.

Aerosol index from 380 and 340 nm



VIIRS band M07 sun-normalised radiances in each S5P scaled field-



With clouds, no aerosols, AAI is negative.
 In cloud shadows, AAI is larger.

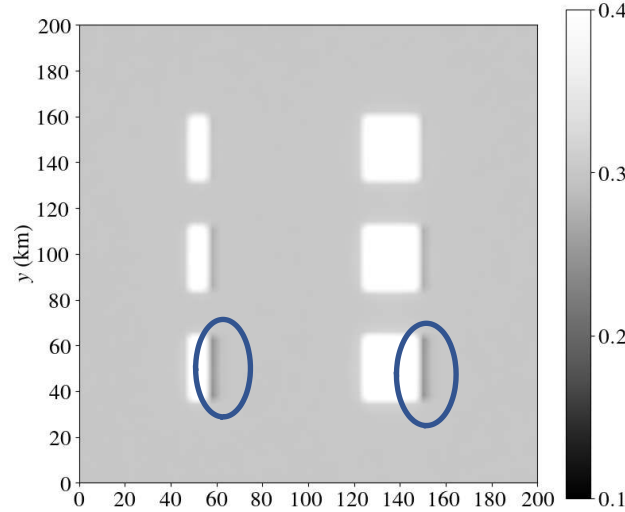
COT= 5; cloud extent = 2-3 km (1st row), 5-6 km (2nd row), 8-9 km (3rd row), no aerosols

$A_s = 0.1$, SZA = 30 deg

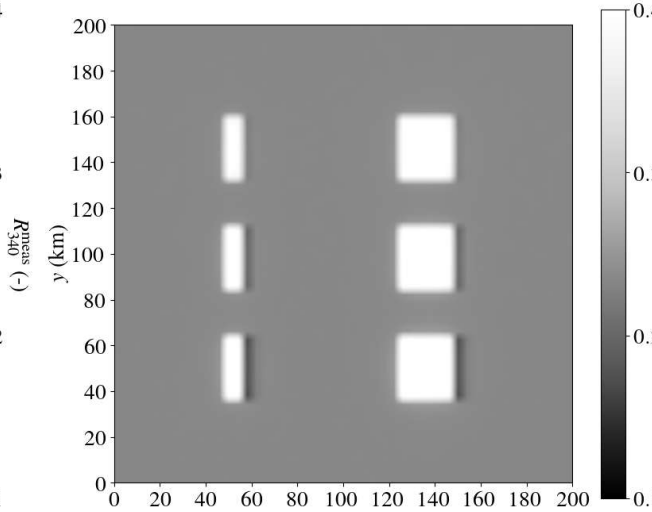
Reflectance at
340 nm

$A_s = 0.1$

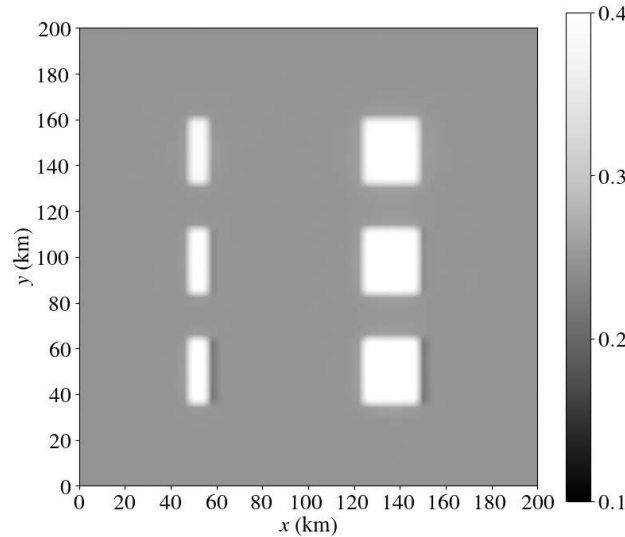
MLS with O₃, $A_s = 0.1$, $\phi - \phi_0 = 0^\circ$, $\theta_0 = 30^\circ$, $\theta = 0^\circ$



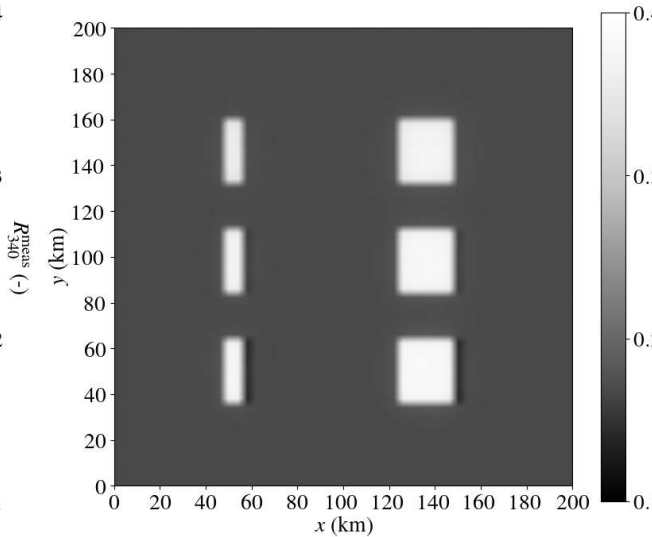
MLS with O₃, $A_s = 0.1$, $\phi - \phi_0 = 0^\circ$, $\theta_0 = 30^\circ$, $\theta = 0^\circ$



MLS with O₃, $A_s = 0.0$, $\phi - \phi_0 = 0^\circ$, $\theta_0 = 30^\circ$, $\theta = 0^\circ$

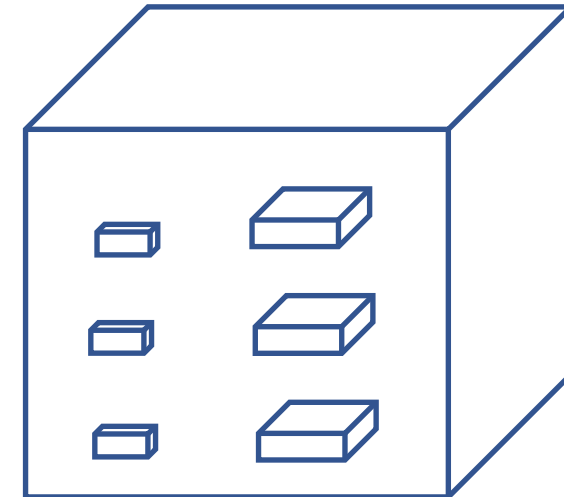


MLS with O₃, $A_s = 0.0$, $\phi - \phi_0 = 0^\circ$, $\theta_0 = 30^\circ$, $\theta = 0^\circ$



$A_s = 0.0$

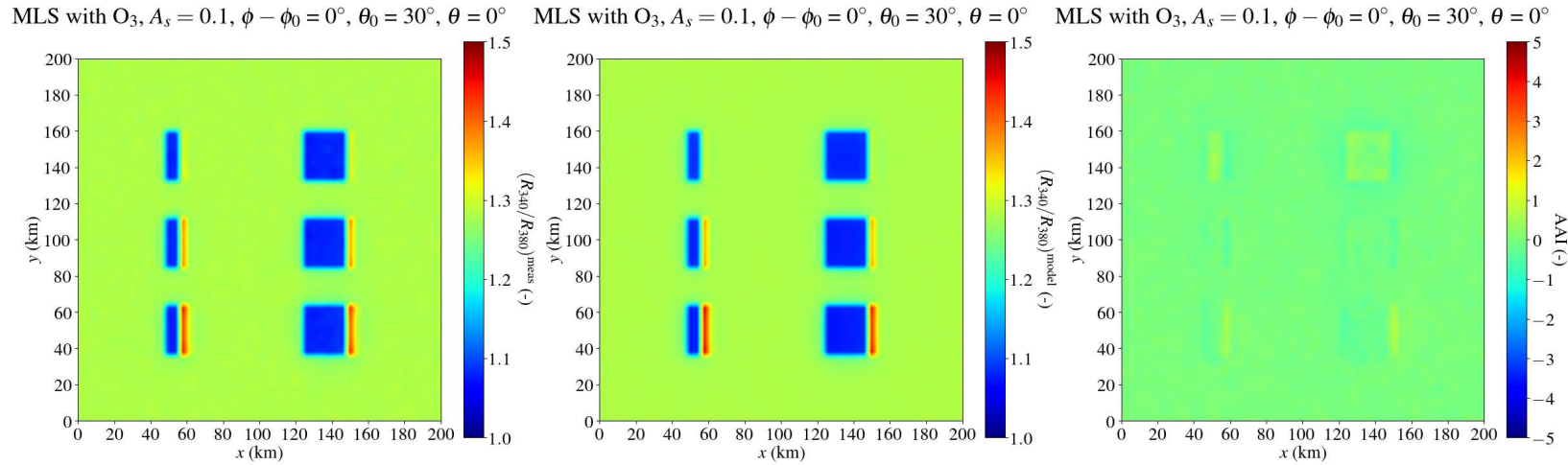
Reflectance at
380 nm



$$AAI = -100 \cdot \left[\log_{10} \left(\frac{R_{340}}{R_{380}} \right)^{meas} - \log_{10} \left(\frac{R_{340}}{R_{380}} \right)^{calc} \right]$$

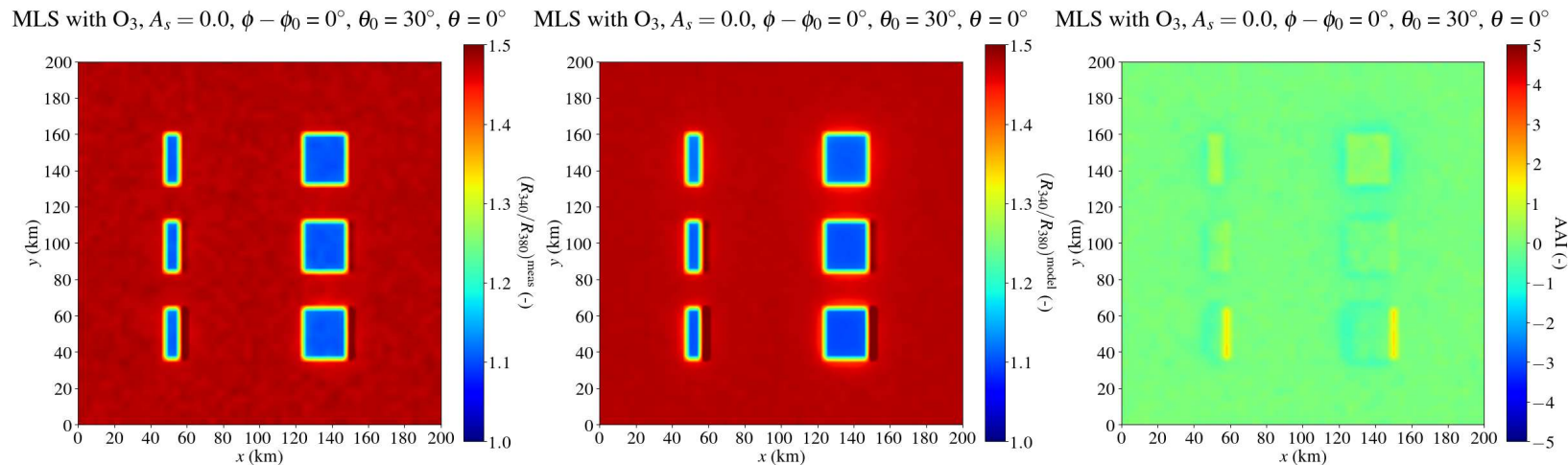
$A_s = 0.1$, SZA = 30 deg
VZA = 0

$A_s = 0.1$



COT= 5;
cloud extent =
2-3 km (1st
row),
5-6 km (2nd
row),

$A_s = 0.0$



8-9 km (3rd
row)

3D

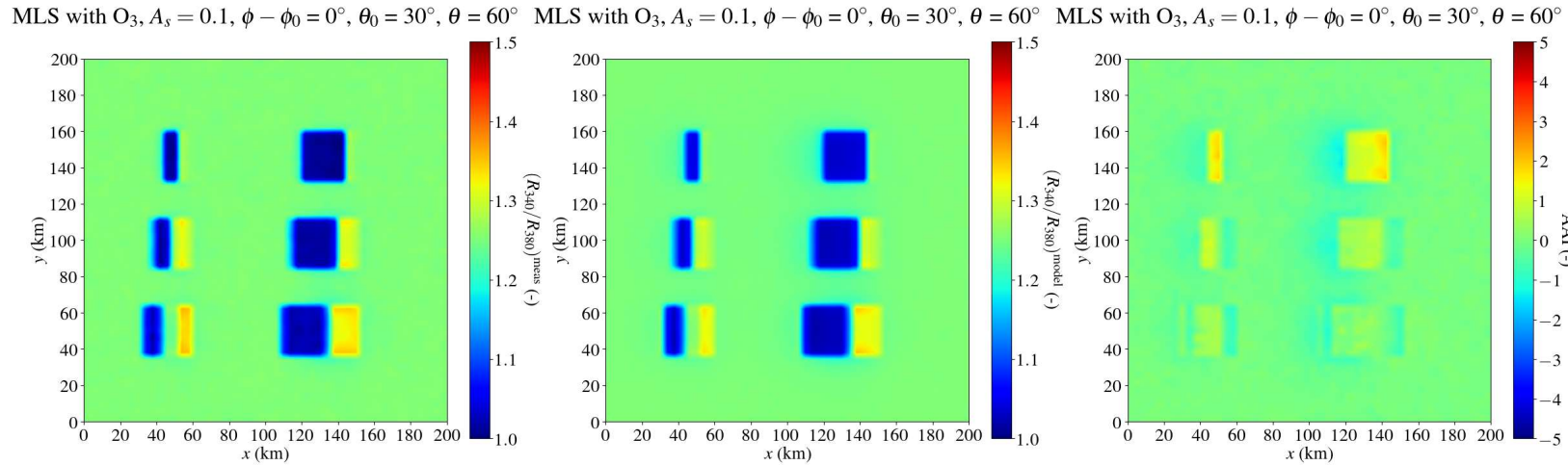
1D, Rayleigh only, but using A_s'

AAI

COT= 5; cloud extent = 2-3 km (1st row), 5-6 km (2nd row), 8-9 km (3rd row)

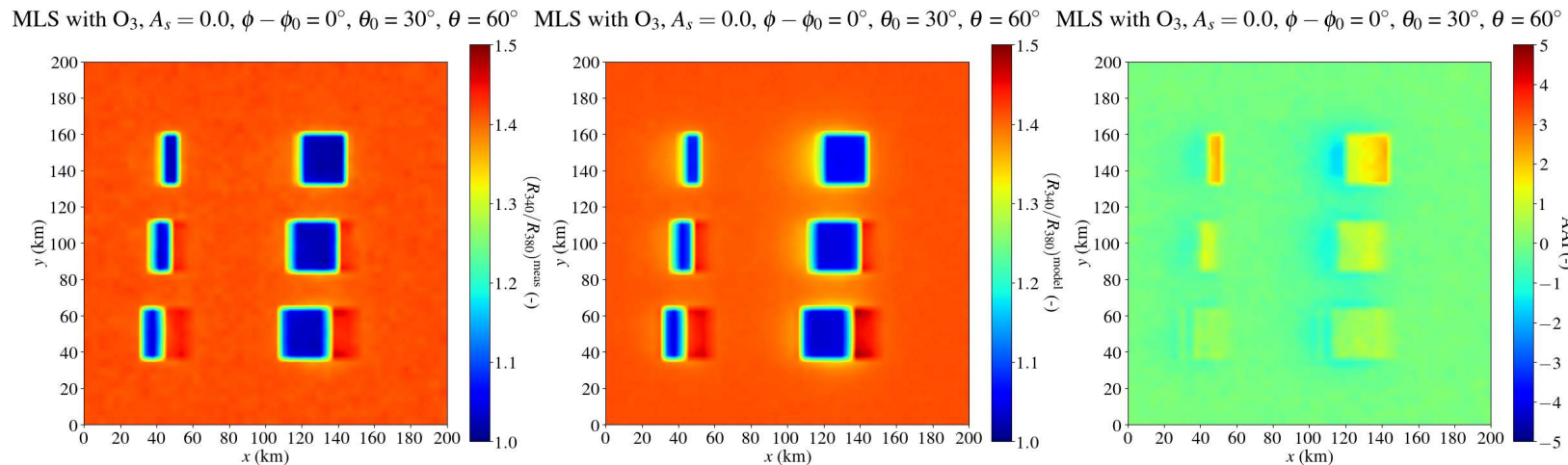
$A_s = 0.1$, SZA = 30 deg
VZA = 60 deg

$A_s = 0.1$



Large VZA,
more shadows
than nadir
view.

$A_s = 0.0$



3D

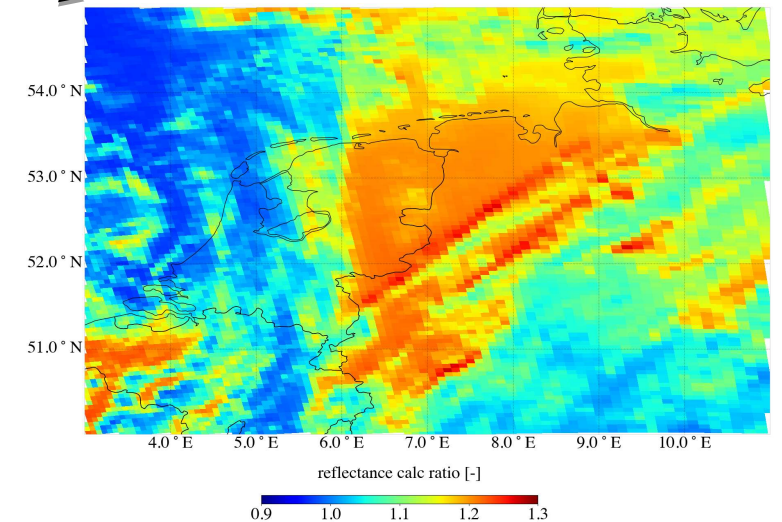
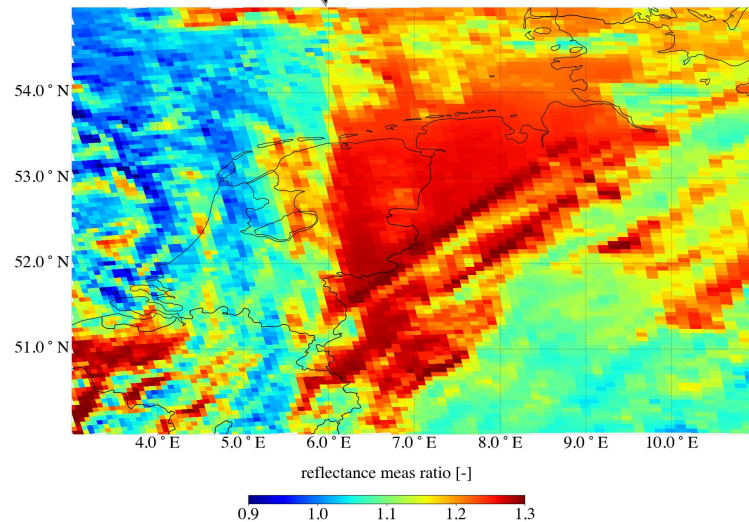
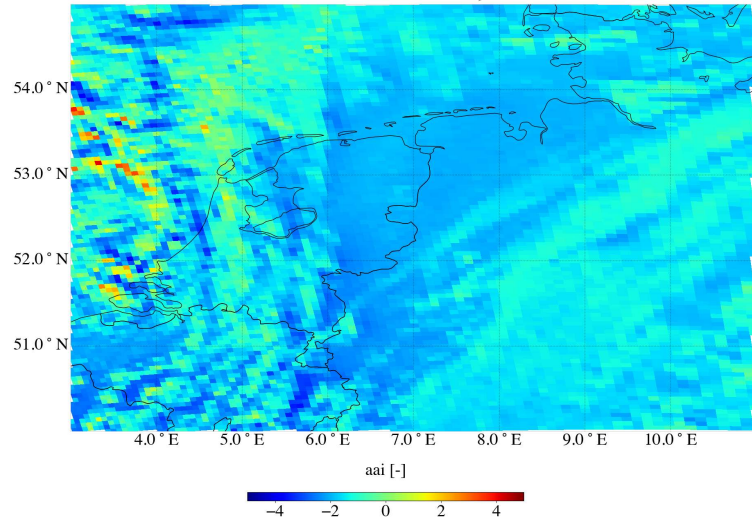
1D, Rayleigh only, but using A_s'

AAI

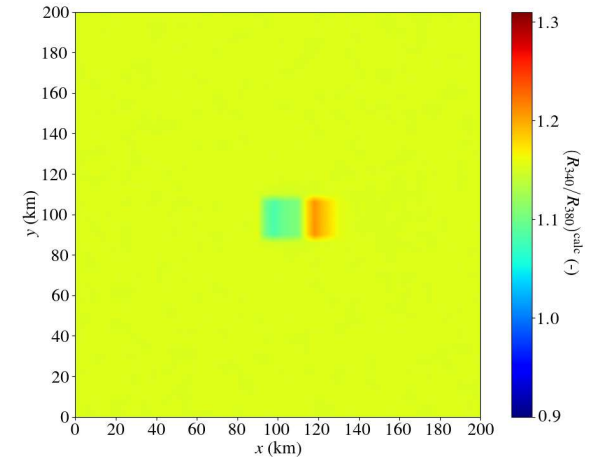
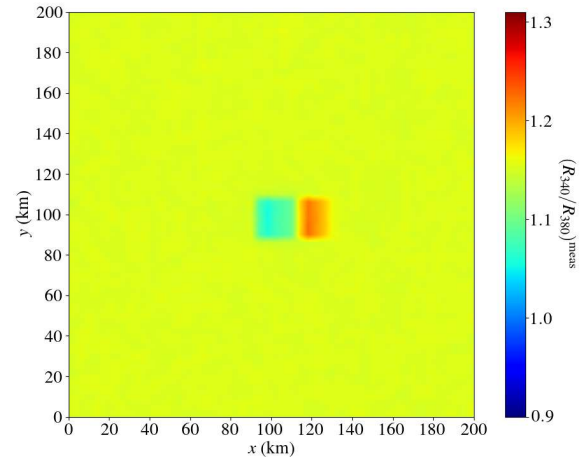
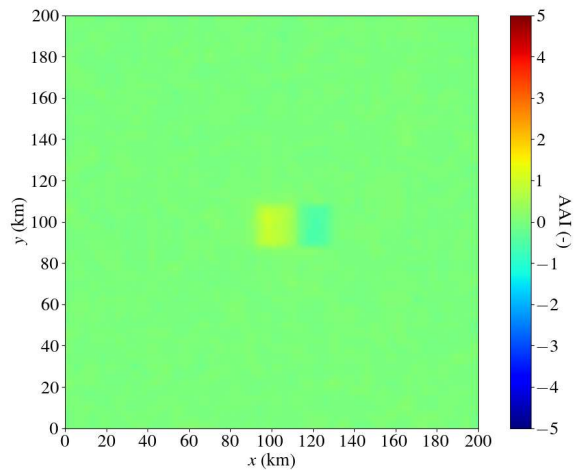
Cloud shadow effect on Absorbing Aerosol Index: case study Netherlands/Germany

$$AAI = -100 \cdot \left[\log_{10} \left(\frac{R_{340}}{R_{380}} \right)^{meas} - \log_{10} \left(\frac{R_{340}}{R_{380}} \right)^{calc} \right]$$

TROPOMI observations:



MONKI simulations:



- Reflectance for a shadow pixel

$$I_s = I_{path} + \frac{t_{dif}(\mu_0) T(\mu_v) A}{(1 - AS)}$$

- Reflectance for a bright pixel

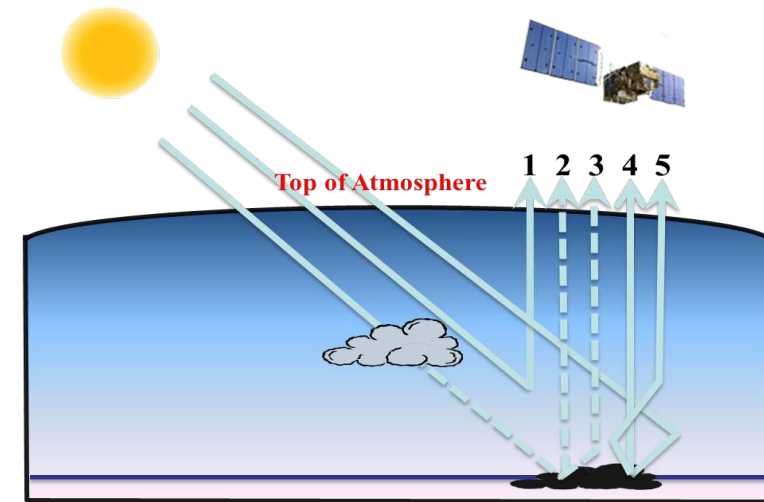
$$I_b = I_{path} + \frac{(e^{-\tau/\mu_0} + t_{dif}(\mu_0)) T(\mu_v) A}{(1 - AS)}$$

- Assume same surface albedo at the two pixels

$$\frac{I_b - I_{path}}{I_s - I_{path}} = \frac{e^{-\tau/\mu_0} + t_{dif}(\mu_0)}{t_{dif}(\mu_0)}$$

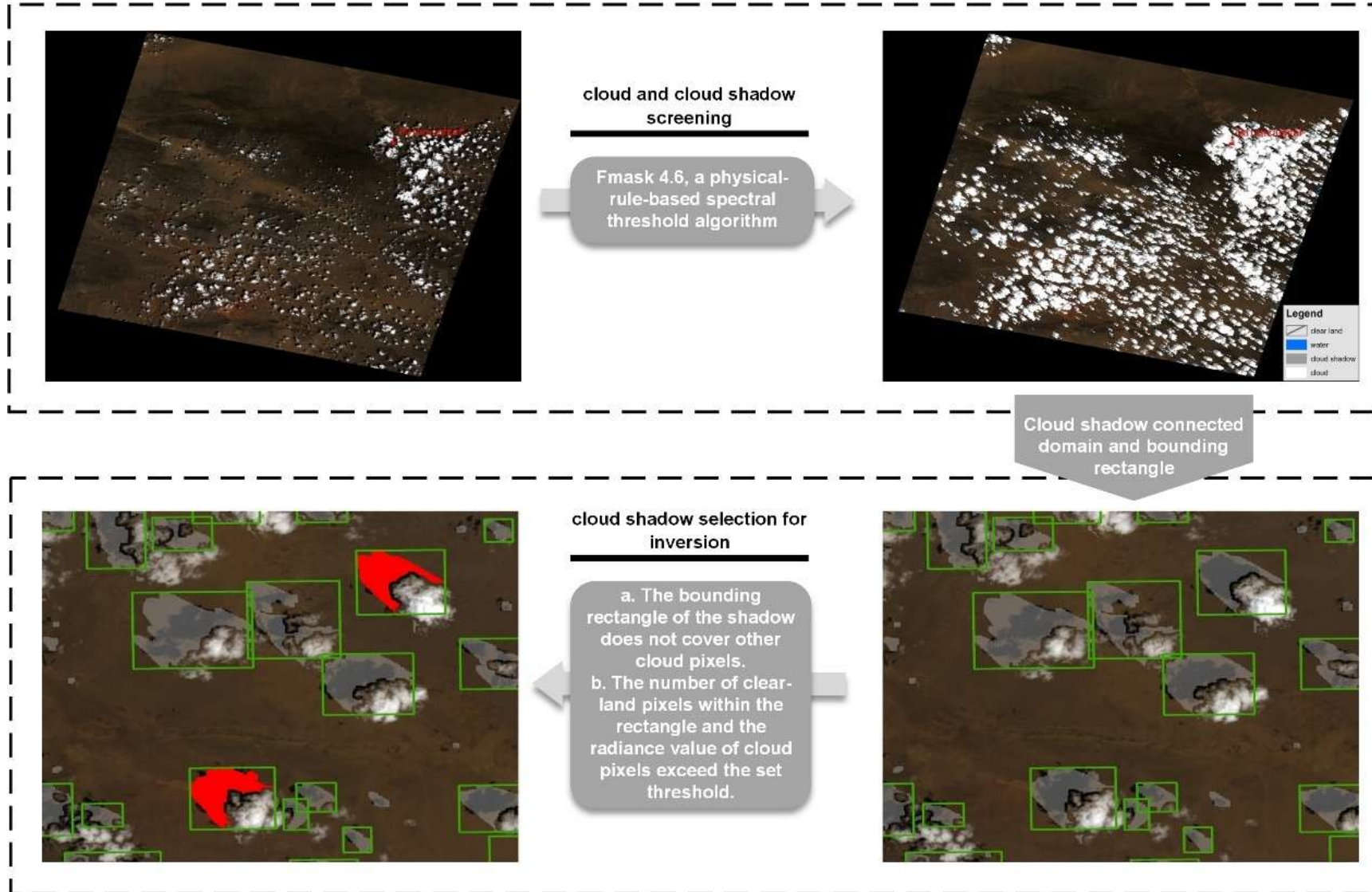
- Minimize the difference to get AOD

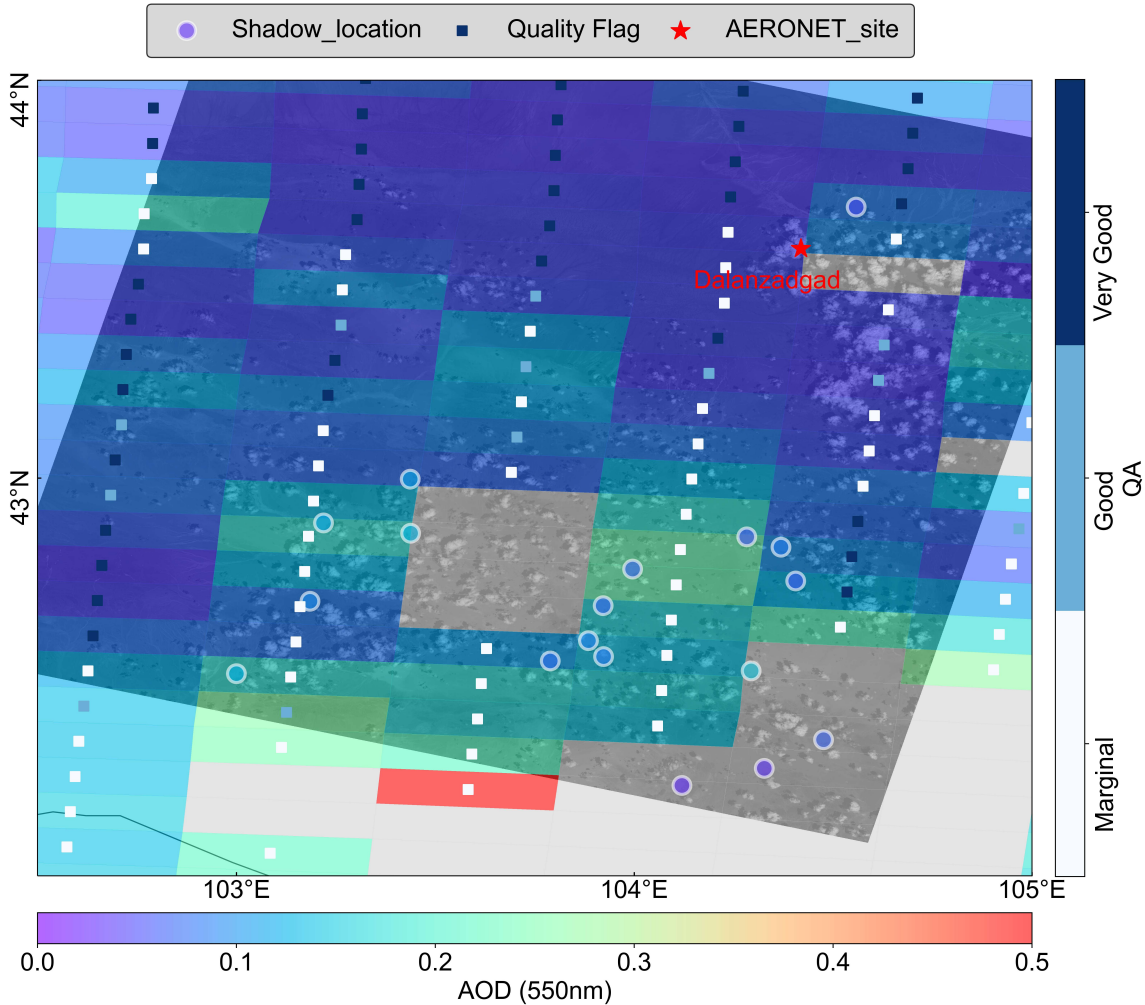
$$\varepsilon = \left| \frac{I_b - I_{path}}{I_s - I_{path}} - \frac{e^{-(\tau_r + \tau_a)/\mu_0} + T_{dif}(\mu_0)}{T_{dif}(\mu_0)} \right|$$



Duan, 2001

- 1 atmospheric path
- 2,4 surface reflection
- 3,5 inter-action between surface and atmosphere





- The conventional aerosol satellite retrieval algorithms in cloudy areas find it challenging to provide relatively accurate AOD.
- The cloud shadow method can effectively enhance the efficiency of AOD retrieval in cloudy regions.

Figure1. The AOD (550 nm) distribution map provided by the MODIS aerosol product, the base map is the LANDSAT8 image on June 23, 2017, the squares in the grid represent the QA of the AOD retrievals, and the dots represent the AOD retrieved by the cloud shadow.

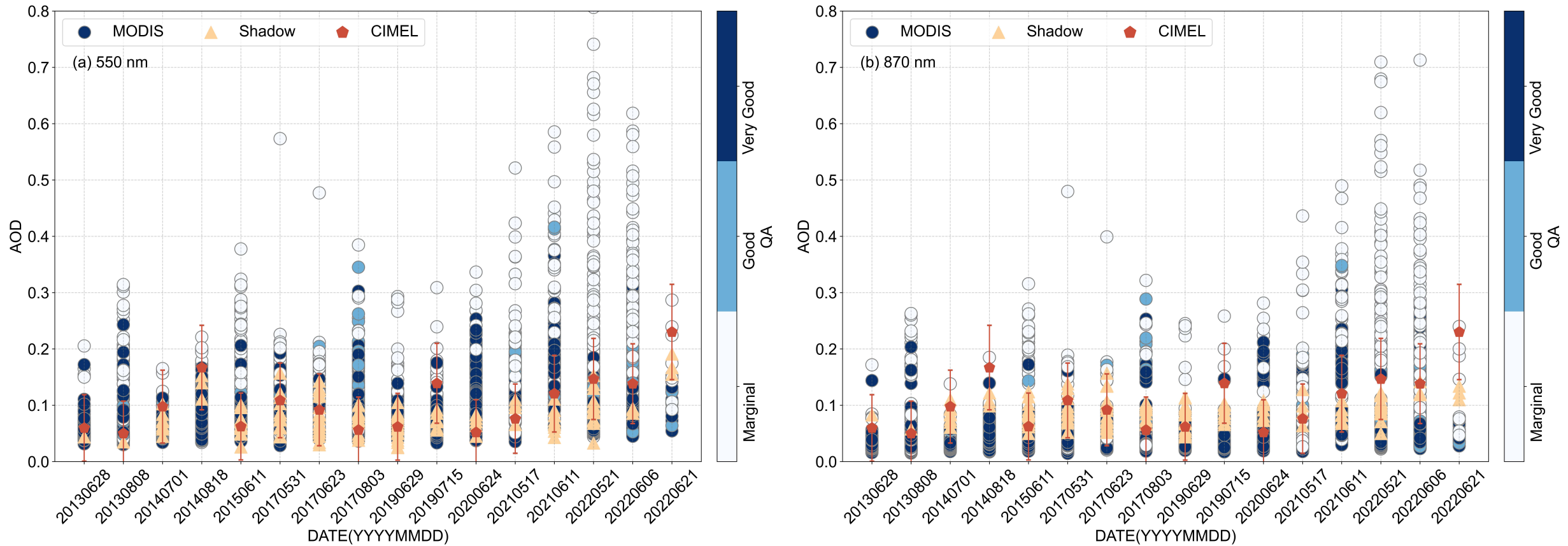


Figure 2. The AOD obtained by cloud shadow algorithm inversion on 16 remote sensing images, MODIS aerosol products and AERONET site data.

- The AOD obtained by the cloud shadow algorithm is very close to the ground-based sun photometer data, and the deviations are basically within ± 0.05 .

Name	Institution	Poster title	Contribution including period of research
Benjamin Leune	KNMI	Observing and Simulating 3D Cloud Effects in the S5P NO2 Product	Analyzed the 3D model simulated NO2 observations and TROPOMI NO2 data for cloud effects.
Victor Trees	KNMI/TU-Delft		Developed MONKI 3D radiative transfer model, simulated AAI and NO2 measurements from TROPOMI with the 3D model. Analyzed the simulated AAI and NO2 observations
			Submitted manuscript about clouds disappearing during eclipse.

Name	Institution	Poster title	Contribution including period of research
Congcong Qiao	IAP	A New Algorithm for Deriving Aerosol Optical Depth Over Cities Using the Building Shadows of High-resolution Satellite Imagery	Aerosol optical thickness retrievals from GF-2 and Landsat-8
			Submitted manuscript to GRL about deriving AOD over cities using the building shadows

- Publish the AOD retrieval paper using GF-2 and Landsat-8 scenes (submitted)
- Apply automatic shadow detection algorithm on GF-2 and Landsat-8
- Finalize and publish the analysis of clouds shadows in S5P NO2 products (in preparation)
- Finalize and publish the analysis of clouds shadows in S5P AAI products (in preparation)
- Improve NO2 retrievals at high spatial resolution (to be done)

Qiao, C., Liu, S., Huo, J., Mu, X., Wang, P., Jia, S., Fan, X., and Duan, M.: Retrievals of Precipitable Water Vapor and Aerosol Optical Depth from direct sun measurements with EKO MS711 and MS712 Spectroradiometers, *Atmos. Meas. Tech.*, 2022.

Qiao et al., A Novel Algorithm for Deriving Aerosol Optical Depth over Cities Using the Building Shadows of High-resolution Satellite Imagery, submitted to GRL, 2023.

Trees, V., Wang, P., and Stammes, P.: Restoring the top-of-atmosphere reflectance during solar eclipses: a proof of concept with the UV Absorbing Aerosol Index measured by TROPOMI, *Atmos. Chem. Phys.*, 21, 8593–8614, <https://doi.org/10.5194/acp-21-8593-2021>, 2021.

Trees, V. J. H., Wang, P., Stammes, P., Tilstra, L. G., Donovan, D. P., and Siebesma, A. P.: DARCLoS: a cloud shadow detection algorithm for TROPOMI, *Atmos. Meas. Tech.*, 15, 3121–3140, <https://doi.org/10.5194/amt-15-3121-2022>, 2022.

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