

# 基于 Sentinel-1 SAR 的全球 1 公里分辨率土壤水分数据产品：算法 和初步评估

樊东<sup>1,2,3</sup>, 赵天杰<sup>4</sup>, 姜小光<sup>5</sup>, Almudena García-García<sup>2,3</sup>, Toni Schmidt<sup>2,3</sup>, Luis Samaniego<sup>6,7</sup>, Sabine Attinger<sup>6,7</sup>, 吴骅<sup>8</sup>, 姜亚珍<sup>8</sup>, 施建成<sup>9</sup>, 樊磊<sup>10</sup>, 唐伯惠<sup>1</sup>, Wolfgang Wagner<sup>11</sup>, Wouter Dorigo<sup>11</sup>, Alexander Gruber<sup>11</sup>, Francesco Mattia<sup>12</sup>, Anna Balenzano<sup>12</sup>, Luca Brocca<sup>13</sup>, Thomas Jagdhuber<sup>14,15</sup>, Jean-Pierre Wigneron<sup>16</sup>, Carsten Montzka<sup>17</sup>, Jian Peng<sup>2,3\*</sup>

<sup>1</sup> Faculty of Land Resource Engineering, Kunming University of Science and Technology, Kunming, China

<sup>2</sup> Department of Remote Sensing, Helmholtz Centre for Environmental Research - UFZ, 04318 Leipzig, Germany

<sup>3</sup> Remote Sensing Centre for Earth System Research – RSC4Earth, Leipzig University, 04103 Leipzig, Germany

<sup>4</sup> State Key Laboratory of Remote Sensing Science, Aerospace Information Research Institute, Chinese Academy of Sciences, China

<sup>5</sup> College of Resources and Environment, University of Chinese Academy of Sciences, China

<sup>6</sup> Department of Computational Hydrosystems, Helmholtz Centre for Environmental Research - UFZ, 04318 Leipzig, Germany

<sup>7</sup> Institute of Earth and Environmental Science-Geoecology, University of Potsdam, 14476, Potsdam, Germany

<sup>8</sup> State Key Laboratory of Resources and Environmental Information System, Institute of Geographical Sciences and Natural Resources Research, Chinese Academy of Sciences, China

<sup>9</sup> National Space Science Center, Chinese Academy of Sciences, China

<sup>10</sup> Chongqing Jinpo Mountain Karst Ecosystem National Observation and Research Station, School of Geographical Sciences, Southwest University, Chongqing, China

<sup>11</sup> Department of Geodesy and Geoinformation, Vienna University of Technology (TU Wien), Vienna, Austria

<sup>12</sup> National Research Council (CNR), Institute for Electromagnetic Sensing of the Environment, Bari, Italy

<sup>13</sup> Research Institute for Geo-Hydrological Protection, National Research Council, Perugia, Italy

<sup>14</sup> Microwaves and Radar Institute, German Aerospace Center (DLR), Weßling, Germany

<sup>15</sup> Institute of Geography, University of Augsburg, Augsburg, Germany

<sup>16</sup> INRAE, UMR1391 ISPA, F-33140, Centre de Bordeaux, Villenave d'Ornon, France

<sup>17</sup> Institute of Bio- and Geosciences: Agrosphere (IBG-3), Forschungszentrum Jülich GmbH, Jülich, Germany

\*Corresponding author: Jian Peng (jian.peng@ufz.de)

**摘要：**高空间分辨率土壤水分数据对于区域和全球尺度水分、能量和碳循环及其相互作用具有重要作用，全球 1 公里分辨率土壤水分数据产品对于农业、水文等领域研究具有重要意义。为此，本研究提出了一种基于 C 波段双极化合成孔径雷达土壤水分反演算法（DPA），并基于此算法利用哨兵 1 号数据生产了全球尺度 1 公里分辨土壤水分数据产品（S1-DPA）。具体地，本研究构建了一个适用于不同土壤和植被状态的“土壤水分-后向散射”正向模型，并根据 SMAP 土壤水分数据产品对模型参数进行标定。基于定标好的模型，利用 2016 至 2020 年的哨兵 1 号 C 波段 VV 和 VH 极化 SAR 数据生产了空间分辨率为 1 公里的全球每日升轨、降轨土壤水分数据产品。最后，利用来自全球土壤水分观测网络（ISMN）的同期地面实测数据对 S1-DPA 产品的精度进行了验证。结果表明，S1-DPA 与地面实测数据相关系数中位数为 0.372，偏差中位数为 -0.003  $\text{m}^3/\text{m}^3$ ，均方根误差中位数为 0.105  $\text{m}^3/\text{m}^3$ ，无偏均方根误差中位数为 0.076  $\text{m}^3/\text{m}^3$ 。总体上，本数据产品在促进高分辨率土壤水分数据在水文、生态、气象等领域的应用具有巨大潜力。